

Simulation and experimental validation of three-dimensional dendrite growth

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Abstract: A three-dimensional (3-D) modified cellular automaton (MCA) method was developed for simulating the dendrite morphology of cubic system alloys. Two-dimensional (2-D) equations of growth velocities of the dendrite tip, interface curvature and anisotropy of the surface energy were extended to 3-D system in the model. Therefore, the model was able to describe the morphology evolution of 3-D dendrites. Then, the model was applied to simulate the mechanism of spacing adjustment for 3-D columnar dendrite growth, and the competitive growth of columnar dendrites with different preferred growth orientations under constant temperature gradient and pulling velocity. Directional solidification experiments of $\text{NH}_4\text{Cl-H}_2\text{O}$ transparent alloy were performed. It was found that the simulated results compared well with the experimental results. Therefore, the model was reliable for simulating the 3-D dendrite growth of cubic system alloys.

Key words: modified cellular automaton; 3-D dendrite morphology; dendrite growth; directional solidification; $\text{NH}_4\text{Cl-H}_2\text{O}$ transparent alloy

1 Introduction

The initial dendrite microstructure during solidification has significant influence on the properties of the castings. There are several approaches to investigate the mechanism of the dendrite evolution, such as experimental studies, theoretical approaches and numerical technique. The adjustment of primary dendrite arm spacing (λ_1) was observed by experimental research on transparent alloys [1–3]. Meanwhile, several theoretical models [4–6] were developed to describe the relationship between λ_1 and the solidification parameters (temperature gradient, G , and solidification rate, v). However, it is well known that the classical experimental and theoretical approaches are unable to describe the detailed microstructure phenomena including the branching and coarsening of secondary dendrite arms.

Recently, the phase field (PF) model and the cellular automaton (CA) approach have presented their considerable potential for quantitatively describing realistic phenomena associated with dendrite growth,

including side arm branching and coarsening. KARMA and RAPPEL [7] studied the effect of surface anisotropy on the 3-D dendrite morphologies by a phase field model. NESTLER et al [8] developed a phase field model to simulate the crystal growth in a pure substance for different initial undercoolings. However, the algorithm of phase field model is complicated and the computational efficiency is lower. The CA model is more computationally efficient than the phase field model, because the computing grid can be coarser in CA model. Therefore, CA model is rapidly emerging as a choice for simulating the dendrite formation in solidification of commercial alloys [9–13]. YUAN and LEE [14] studied the effect of fluid motion on the 3-D dendrite morphology of Ni–4.85%Nb alloy by coupling CA model with basic flow equations, but the model ignored the anisotropy of interface energy. PAN and ZHU [15] developed a 3-D CA model to simulate the effect of melt undercoolings and degrees of anisotropy of interface energy on the single dendrite morphologies of Fe–0.6%C alloy. CHEN and XIONG [16] developed a simple CA method to simulate columnar dendrite transformation to

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equiaxed dendrite (CET) of twin-roll continuous casting aluminum thin strip.

In the present study, a 3-D modified cellular automaton (MCA) model was developed to simulate the 3-D dendrite evolution in solidification of alloys based on the 2-D CA model. It proposed a simplified solution of the anisotropy of interface energy, which can affect the curvature undercooling and the dendrite morphology. Competitive growth of 3-D columnar dendrites in directional solidification was simulated by the model. In order to validate the model, the simulated results were compared with those derived by experiments.

2 Description of MCA model

2.1 Solute diffusion

In the 3-D MCA model, the domain is meshed by a set of uniform cubic cells, and the cell is characterized by the solid fraction (f_s): liquid ($f_s=0$), solid ($f_s=1$) or interface ($0 < f_s < 1$).

During the solidification process, for an alloy the solute diffusion is solved in the liquid and solid region, respectively, which is governed by

$$\frac{\partial C_L}{\partial t} = \nabla \cdot (D_L \nabla C_L) \quad (1)$$

$$\frac{\partial C_S}{\partial t} = \nabla \cdot (D_S \nabla C_S) \quad (2)$$

where C and D are the composition and solute diffusion coefficient, and the subscripts “L” and “S” means the liquid and solid, respectively, t is time, and k_0 is the solute partition coefficient.

2.2 Growth kinetics of dendrite tip

At the micro-scale level, the relationship between the equilibrium composition and the interface temperature is given by [17,18]

$$C_L^* = C_0 + [T^* - T_L + \Delta T_R] / m_L \quad (3)$$

$$C_S^* = k_0 C_L^* \quad (4)$$

where T^* is the interface temperature, T_L is the liquidus temperature, C_L^* and C_S^* are the equilibrium liquid and solid composition, respectively, C_0 is the initial composition, ΔT_R is the curvature undercooling, and m_L is the slope of the liquidus line.

The anisotropy of interfacial energy and the orientation dependence of the interfacial stiffness are incorporated into the equation of curvature undercooling, which can be expressed as follows [19,20]:

$$\Delta T_R = \frac{1}{\Delta S_F} \left[\left(\sigma(\theta, \psi) + \frac{\partial^2 \sigma(\theta, \psi)}{\partial^2 \theta} \right) K_1 + \right.$$

$$\left. \left(\sigma(\theta, \psi) + \frac{\partial^2 \sigma(\theta, \psi)}{\partial^2 \psi} \right) K_2 \right] \quad (5)$$

where $\sigma(\theta, \psi)$ is the anisotropic interfacial free energy, θ and ψ are the two standard spherical angles of the normal to the solid-liquid interface. In a rectangular Cartesian coordinate system, θ is the angle between normal to the solid-liquid interface and z axis, and ψ is the normal projection in the x — y plane with respect to the x axis. K_1 and K_2 are the two principal interfacial curvatures. ΔS_F is the melting entropy of the alloys.

To simulate the growth of the typical cubic dendrites, a general form of the anisotropy function of interfacial energy with equal strength of anisotropy in the basal and in normal direction is given by [21,22]

$$\sigma(\theta, \psi) = \sigma_0 (1 - 3\varepsilon) \cdot$$

$$\left[1 + \frac{4\varepsilon}{1 - 3\varepsilon} \left(\cos^4 \theta + \sin^4 \theta \left(1 - \frac{1}{2} \sin^2 2\psi \right) \right) \right] \quad (6)$$

$$\Gamma = \frac{\sigma_0}{\Delta S_F} \quad (7)$$

where σ_0 is the isotropic interfacial energy, Γ is the Gibbs-Thomson coefficient, and ε is the anisotropic coefficient.

In order to calculate θ and ψ , the unit vector normal to the interface $\boldsymbol{\eta}$ is simplified as follows:

$$\boldsymbol{\eta} = \nabla f_S / |\nabla f_S| = \eta_x \mathbf{i} + \eta_y \mathbf{j} + \eta_z \mathbf{k} \quad (8)$$

$$\begin{cases} \psi = \arccos \left(\frac{\partial f_S}{\partial x} \left[\left(\frac{\partial f_S}{\partial x} \right)^2 + \left(\frac{\partial f_S}{\partial y} \right)^2 \right]^{-1/2} \right) \\ \theta = \arccos \left(\frac{\partial f_S}{\partial z} \left[\left(\frac{\partial f_S}{\partial x} \right)^2 + \left(\frac{\partial f_S}{\partial y} \right)^2 + \left(\frac{\partial f_S}{\partial z} \right)^2 \right]^{-1/2} \right) \end{cases} \quad (9)$$

where η_x , η_y and η_z are the rectangular components of the vector $\boldsymbol{\eta}$.

The interface root mean square curvature of CA interface cell is calculated by a simplified computational method.

$$K = [1 - 2(f_S + \sum_{i=1}^N f_S^i) / (N + 1)] / \Delta x \quad (10)$$

where Δx is the cell size, f_S^i is the solid fraction of the neighboring cells, and N is the total number of CA cells counted around the interface cell. In a 3-D system, N equals 26.

Solute conservation at the S/L interface is given by

$$\mathbf{v}_n C_L^* (1 - k_0) = -D_L \nabla C_L + D_S \nabla C_S \quad (11)$$

where \mathbf{v}_n is the normal velocity of the interface. In three dimensional condition, $\mathbf{v}_n = (v_x, v_y, v_z)$, which can be

expressed as

$$v_x C_L^* (1 - k_0) = -D_L \frac{\partial C_L}{\partial x} + D_S \frac{\partial C_S}{\partial x} \quad (12)$$

$$v_y C_L^* (1 - k_0) = -D_L \frac{\partial C_L}{\partial y} + D_S \frac{\partial C_S}{\partial y} \quad (13)$$

$$v_z C_L^* (1 - k_0) = -D_L \frac{\partial C_L}{\partial z} + D_S \frac{\partial C_S}{\partial z} \quad (14)$$

After calculating the velocity components in x -, y - and z -directions, the increment of solid fraction at the interface is obtained by

$$\begin{aligned} \Delta f_S = & [v_x \Delta t / \Delta x + v_y \Delta t / \Delta y + v_z \Delta t / \Delta z - \\ & v_x v_y \Delta t^2 / (\Delta x \Delta y) - v_x v_z \Delta t^2 / (\Delta x \Delta z) - \\ & v_y v_z \Delta t^2 / (\Delta y \Delta z) + 2v_x v_y v_z \Delta t^3 / (\Delta x \Delta y \Delta z)] \end{aligned} \quad (15)$$

$$f_S^{n+1} = f_S^n + \Delta f_S \quad (16)$$

where f_S^n and f_S^{n+1} are the solid fraction at the current time step and the next time step, respectively. When f_S equals 1, the interface cell becomes solid and captures the neighboring liquid cells, and the captured cells change its state to interface. Therefore, the dendrite can grow.

3 Simulation and experiment

3.1 Experimental setup

As shown in Fig. 1, $\text{NH}_4\text{Cl}-\text{H}_2\text{O}$ transparent alloy was poured into a sample cell, and then subjected to directional solidification under constant pulling velocity (v_p) in a horizontal heating and cooling system, which can supply a constant temperature gradient (G). During the directional solidification process, the dendrite growth was observed by a microscope in real time.

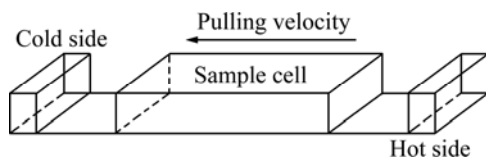


Fig. 1 Schematic illustration of experimental setup for directional solidification of $\text{NH}_4\text{Cl}-\text{H}_2\text{O}$ transparent alloy

The thermal diffusion is several orders higher than the solute diffusion. Therefore, in the calculation domain, the temperature is controlled by G and v_p .

3.2 Simulation of selection of primary dendrite arm spacing

In order to validate the MCA model, the directional

solidification of an $\text{NH}_4\text{Cl}-74\%\text{H}_2\text{O}$ (mass fraction) transparent alloy was simulated. The material properties were derived from Ref. [17].

At first, several seeds were set at the base $x-y$ plane and the preferred growth direction of all the seeds aligned well with z -axis. The solidification parameters were used as follows: $G=1$ K/mm, $v_p=3$ $\mu\text{m/s}$, which were the same as experiments. The calculation domain was $1.8 \text{ mm} \times 0.12 \text{ mm} \times 2.4 \text{ mm}$ with cell size of $6 \mu\text{m}$.

From Fig. 2, it can be seen that two primary dendrite arms developed well. Some tertiary dendrites emanated from the secondary dendrite arms. However, they were blocked by the other secondary dendrites both from simulation (see Fig. 2(a)–(c)) and experimental result (see Fig. 2(d)). The two primary dendrites kept growing, the secondary arms began to coarsen, and the spacing remained constant (see Fig. 2(e)–(h)). Above all, the simulated results agreed well with the experimental results.

Similar to the preliminary 3-D simulation of 2 seeds, the growth of 3 seeds at different time steps was simulated (see Figs. 3(a) and (e)) and observed by experiments (see Figs. 3(d) and (h)). At the beginning of simulation, 3 seeds were nucleated at the bottom with the $\langle 100 \rangle$ directions aligned well with z -axis, and the distance of the 2 seeds at the left side (see Figs. 3(c) and (d)) was closer than the right 2 seeds. Because of the dense packing of the left 2 seeds, the growth of one seed was suppressed after initial competition. It can be seen that, two dendrites with the same preferred growth direction overgrew the left one and kept growing steadily (see Figs. 3(a) and (e)). It was found that the experimental dendrites (see Figs. 3(d) and (h)) agreed well with that simulation (see Figs. 3(c) and (g)). Therefore, the MCA model can simulate the competitive growth of dendrites.

In classical models [4–6], the growth of dendrites with different numbers of seeds could result in the same final primary dendrite arm spacing (λ_1) when the solidification parameters were constant. λ_1 was determined as function of G and solidification rate v ($v \approx v_p$), $\lambda_1 \propto G^{-0.5} v^{-0.25}$. From Table 1, it can be seen that a smaller dendrite was overgrown by its neighbors when the initial spacing was too narrow, and the final primary dendrite arm spacing in the simulation compared well with the experimental results.

3.3 Simulation of competitive growth of columnar dendrites

The simulation and experiment of competitive growth of columnar dendrites in a 3D block were performed with $G=1$ K/mm, and $v_p=10$ $\mu\text{m/s}$. In this 3-D

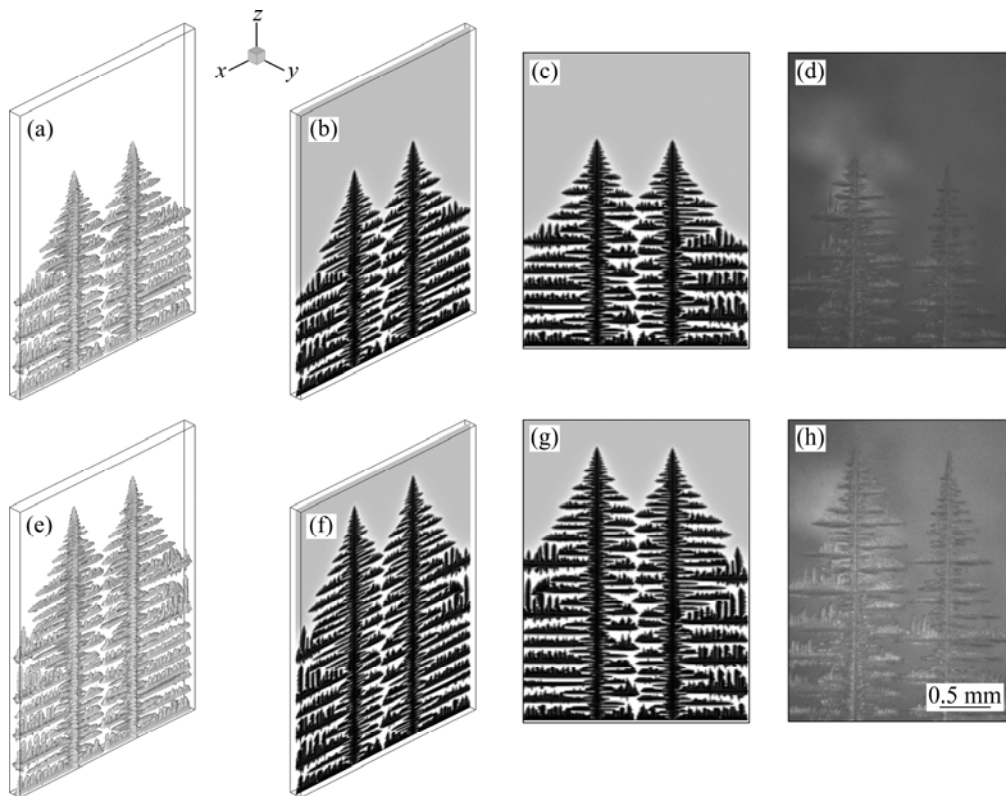


Fig. 2 Simulated and experimental results of 3-D columnar dendrite growth of $\text{NH}_4\text{Cl}-74\%\text{H}_2\text{O}$ transparent alloy with 2 seeds in directional solidification: (a) $t=430$ s, 3-D morphology; (b,c) $t=430$ s, $x-z$ central slice of the solute field; (d) Experimental photograph; (e) $t=740$ s, 3-D morphology; (f,g) $t=740$ s, $x-z$ central slice of the solute field; (h) Experimental photograph

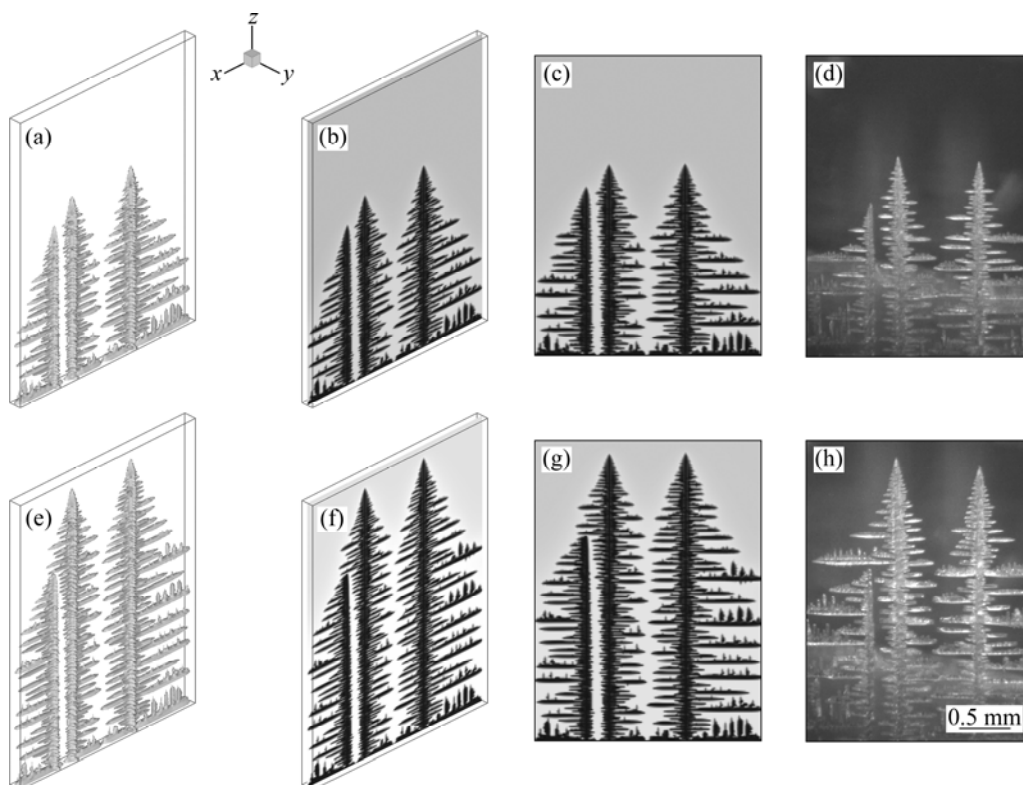


Fig. 3 Simulated and experimental results of 3-D columnar dendrite growth of $\text{NH}_4\text{Cl}-74\%\text{H}_2\text{O}$ transparent alloy with 3 seeds: (a) $t=420$ s, 3-D morphology; (b,c) $t=420$ s, $x-z$ central slice; (d) Experimental photograph; (e) $t=750$ s, 3-D morphology; (f,g) $t=750$ s, $x-z$ central slice; (h) Experimental photograph

Table 1 Comparison of final primary dendrite arm spacing (λ_1) between initial and final state under constant G and v_p

State	Method	$\lambda_1/\mu\text{m}$	
		2 seeds	3 seeds
Initial state	Simulation	630	430
	Experiment	678	458
Final steady state	Simulation	630	620
	Experiment	678	664

simulation, temperature gradient stood perpendicularly to the x — y plane. The calculation domain consisted of $300 \times 20 \times 400$ CA cells and the cell size was $6 \mu\text{m}$. At the beginning, 4 seeds were set at the bottom plane with different preferred growth directions, and 2 seeds at the left wall were favorably oriented.

It can be seen that two dendrites aligned well with z -axis had the maximum growth rate (see Figs. 4(a) and 4(d)), and they were the leading dendrites, which grew faster than the dendrites with misaligned orientations (Figs. 4(e) and (h)). Finally, the development of secondary dendrite arms on the leading dendrites exceeded the tip of the lagging dendrite, and suppressed the growth of the lagging dendrites, which had the

misaligned orientations. It was obvious that the predicted dendrite pattern was in good agreement with that obtained by experiments (see Fig. 4).

4 Conclusions

A 3-D MCA model for the simulation of columnar dendrite growth in directional solidification process was developed. A simplified computational method of anisotropic interfacial energy was imported into the present model. The normal velocity of the interface was derived by solving the 3-D solute conservation equation subjected to the boundary conditions at the S/L interface. The MCA model was applied to simulate the selection and competition of columnar dendrites in directional solidification of NH_4Cl — H_2O transparent alloy. It was found that initial seed numbers had little effect on the primary dendrite arm spacing when the dendrite growth reached a stable state. It was also found that the crystal orientation parallel to heat flow direction can overgrow the misaligned one, which yield a relatively good quantitative agreement with experimental results. Above all, the present 3-D MCA model is possible to be a powerful tool to predict a number of interesting microstructure pattern formation issues of commercial alloys.

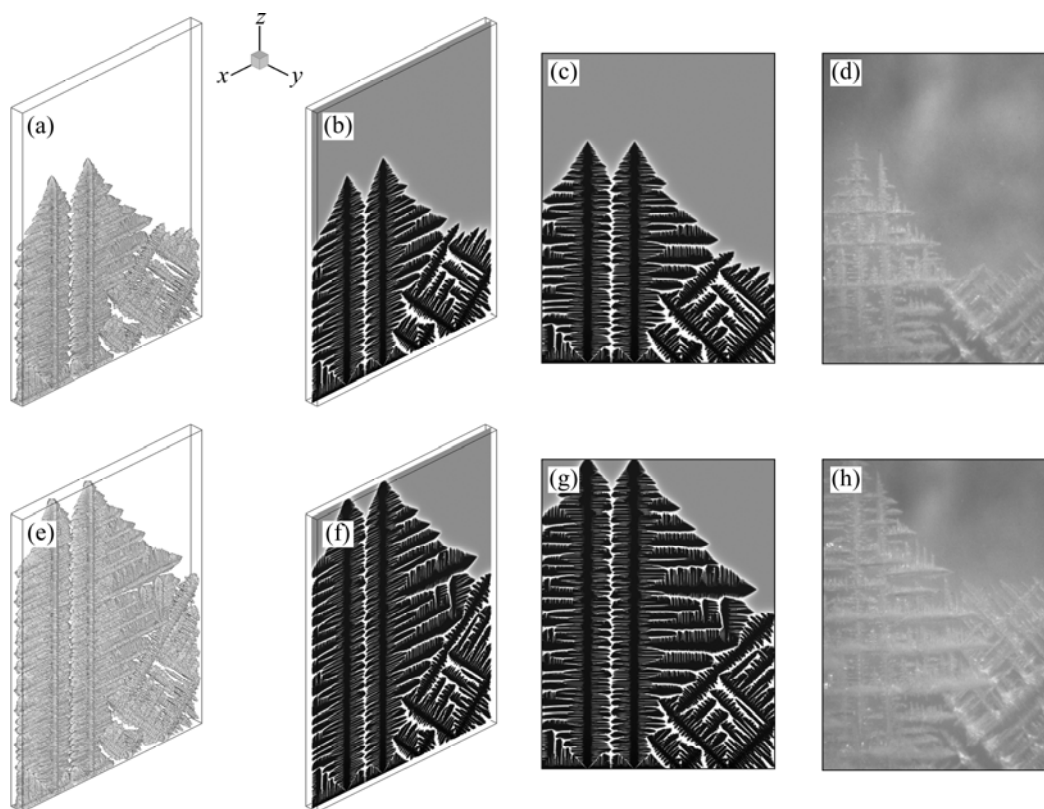


Fig. 4 Competitive growth of columnar dendrite of NH_4Cl —74% H_2O transparent alloy with different preferred growth orientations: (a) $t=160$ s; (b,c) $t=160$ s, x — z central slice; (d) Experimental result; (e) $t=220$ s; (f,g) $t=220$ s, x — z central slice; (h) Experimental result

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三维枝晶生长数值模拟及实验验证

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摘要: 建立了用于模拟立方晶系合金三维枝晶生长的改进元胞自动机模型。该模型将枝晶尖端生长速率、界面曲率和界面能各向异性的二维方程扩展到三维直角坐标系, 从而能够描述三维枝晶生长形貌演化。应用本模型模拟在确定温度梯度和抽拉速度条件下三维柱状晶生长过程的一次臂间距调整机制和不同择优取向柱状晶之间的竞争生长。使用 $\text{NH}_4\text{Cl-H}_2\text{O}$ 透明合金进行凝固实验, 模拟结果和实验结果吻合较好。

关键词: 改进的元胞自动机; 三维枝晶形貌; 枝晶生长; 定向凝固; $\text{NH}_4\text{Cl-H}_2\text{O}$ 系透明合金

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