



Semi-solid processing of aluminum and magnesium alloys: Status, opportunity, and challenge in China

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Abstract: Owing to its low cost, short process and low energy consumption, semi-solid processing (SSP) of aluminum (Al) and magnesium (Mg) alloys has been considered as a competitive approach to fabricate complicated components with excellent performance. Over the past decade, significant progress has been achieved in deeply understanding the SSP process, the microstructure and performance of the fabricated components in China. This paper starts with a retrospective overview of some common slurry preparation methods, followed by presenting the performance and the underlying mechanisms of SSP fabricated alloys. Then, the mainstream opinions on the microstructure evolution and rheological flow behavior of semi-solid slurry are discussed. Subsequently, the general situation and some recent examples of industrial applications of SSP are presented. Finally, special attention is paid to the unresolved issues and the future directions in SSP of Al and Mg alloys in China.

Key words: semi-solid processing; aluminum alloys; magnesium alloys; slurry preparation; numerical modeling; performance; industrial applications

1 Introduction

As the two lightest metal structural materials of commercialization, aluminum (Al) and magnesium (Mg) alloys exhibit excellent specific strength, high specific stiffness, superior thermal conductivity, good recyclability and natural availability, thus obtaining extensive applications in many sectors, such as automobile, aerospace and 3C products [1–4]. In recent years, the strong demand for lightweight structure and function integration components with high performance and low cost is increasingly promoting, which poses

great challenges to the properties of Al and Mg alloys. To grapple with this long-term issue, it is significantly essential to further develop Al and Mg alloys by designing new alloys or optimizing their processing routes to satisfy the requirements of the market.

Currently, the conventional processing routes of Al and Mg alloys primarily include liquid forming and forging [5,6]. Liquid forming mainly consists of sand casting, squeeze casting, gravity casting, high pressure die casting (HPDC) and so forth. The HPDC process has been widely explored because it can provide vast advantages in terms of superior metallurgical quality, high productivity and

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easy realization of automatic production. However, it cannot be neglected that the structural integrity of the parts processed by HPDC is always plagued by inherent defects like shrinkage, pores and hot cracking. In addition, these parts normally cannot undergo means of heat treatment to further enhance their properties due to the appearance of subsurface blisters. Thus, these parts are not sufficient for some structural applications required in modern industries. Although the forged parts show good relative density and mechanical properties, the high production cost and low efficiency limit their wide applications seriously. In the recent years, metal additive manufacturing (AM), such as direct energy deposition (DED) and laser powder bed fusion (L-PBF), has attracted considerable interest in both academic and industrial world as it can rapidly build highly complex geometric and high-performance parts without using molds [7–9]. But, currently a very limited number of Al and Mg alloys are regarded as “easily printed and quality deposit”, and the feedstock (usually the micron-sized powders) and processing costs are also relatively expensive [10–12]. Fortunately, compared with the above-mentioned processes, semi-solid processing (SSP) benefiting from both casting and forging displays a bright prospect to commercially produce high-quality components with low cost and short process.

The SSP, which employs the semi-solid slurry with non-dendritic (spherical) grains as the feedstock, is an advanced near-net-shape forming technology. The special slurry, the state of which is temperature- and time-dependent, ensures that the alloy displays the thixotropic behavior under shear [13–15]. The slurry can fill the mold in a more controllable and non-turbulent manner to avoid the common gas entrapment defects that usually found in classic casting and to obtain near fully dense parts that can be further strengthened through suitable heat treatment [16–19]. Besides, the relatively low forming temperature could reduce the thermal shock to the die and lessen the solidification shrinkage, ensuring near-net shape forming and high surface quality [20–22].

After the development of almost half a century, the focus of SSP has gradually transformed from basic research to key technology and application research. This requires not only a profound knowledge of the process itself, but also the

evolution mechanism of microstructure and the final performance of the fabricated components. Significant progress in understanding the SSP of Al and Mg alloys has been made primarily due to the industry upgrading and the strong demand of the market in China over the past decade. Until now, SSP has been successfully utilized to manufacture automobile steering arm, brake caliper, 5G base station filter, and other components, exhibiting favorable prospects for engineering development and promotion. Therefore, it is of vital importance to give a comprehensive overview of SSP of Al and Mg alloys.

In this paper, we will first discuss the semi-solid slurry preparation process in China, followed by the performance of SSP fabricated parts. Afterwards, the research status of microstructure evolution and flow behavior mechanism of slurry in SSP will be summarized and discussed. The general situation and some examples of successful applications of SSP in China are presented subsequently. Finally, we will highlight the unresolved problems and put forward the future developmental direction in this frontier research field.

2 Slurry preparation methods

2.1 Common methods in China

Preparation of the semi-solid slurry with non-dendritic grains as the feedstock is the first step and prerequisite for SSP [23–25]. The main aim is to prepare high-quality slurry with homogeneously dispersed and spherical microstructure, which exerts marked effect on the properties of the components. Under the economic pressure of reducing the production cost and time as much as possible, the attention of SSP has gradually converted from thixoforming to rheoforming [26–29]. So far, around 30 types of methods for slurry preparation have emerged based on various solidification conditions. As summarized in Table 1, there are nearly 20 types of methods that consist of both self-developed and introduced methods in China.

These slurry preparation methods can be grouped into three subsets: (1) agitation/stirring, such as mechanical stirring and electromagnetic stirring, (2) regulation of grain nucleation and growth process, and (3) integration of (1) and (2).

In order to achieve superior stability of growing spheroids, ample nuclei in the early stage of solidification should be generated in these subsets [79]. It can be observed in Table 1 that almost all the methods can prepare slurry with average particle size (APS) less than 100 μm and

shape factor (S_F) over 0.5, suggesting that these methods could theoretically generate eligible slurry for further forming. But, most of the self-developed methods in China are still in the experimental stage despite some industrial trials. From the perspective of the application, cost, efficiency, product quality

Table 1 Summary of slurry preparation methods developed and adopted in China

Process	Affiliation	Some commonly used Al and Mg alloys
Indirect ultrasonic vibration (IUV)	Huazhong University of Science and Technology	356 ($f_s=0-0.22$) [30], 5083 ($f_s=0-0.23$) [31], SiC _p /Al [32], Mg–Zn–Y [33]
Low superheat pouring with a shear field (LSPSF)	Nanchang University	356 ($f_s=0.4$) [34], 2024 [35], 7075 [36], AZ91 [37]
Internal rapid cooling stirring process (IRCSP)	Nanchang University	AZ91D ($f_s=0-0.32$) [38]
Self-inoculation method (SIM)	Lanzhou University of Technology	356 ($f_s=0.27$) [39], 2024 [40], 6061 ($f_s\approx 0.4$) [41], AM60 [42]
Forced convection stirring (FCS)	University of Science and Technology Beijing	356 [43], A380 [44], 7075 ($f_s\approx 0.1$) [45], AZ91D [43]
Inverted cone-shaped pouring channel (ICSPC)	University of Science and Technology Beijing	7075 ($f_s\approx 0.15, 0.46$) [46,47]
Annular electromagnetic stirring (A-EMS)	General Research Institute for Non-ferrous Metals (GRINM)	357 [48], 7075 [49]
Serpentine channel pouring (SCP)	University of Science and Technology Beijing	356 ($f_s\approx 0.5$) [50,51], A380 [52], 7075 ($f_s\approx 0.2$) [53]
Pulsed magnetic field process (PMF)	Nanchang Hangkong University	356 ($f_s\approx 0.2$) [54], 2024 [55]
Rotate casting method (RCM)	Harbin Institute of Technology	7A09 [56]
Melt spreading and mixing technique (MSMT)	General Research Institute for Non-ferrous Metals (GRINM)	Al6.5Si [57]
Helical curve duct (HCD)	Nanchang University	356 [58], 6063 [59], 7075 [59]
Air-cooled stirring rod device (ACSR)	University of Science and Technology Beijing	Al8Si [60], Al–Si–Fe–Mg–Sr [61], Al7.5Si0.8Fe [62], 7075
Distributary-confluence channel (DCC)	University of Science and Technology Beijing	356 ($f_s\approx 0.26-0.48$) [63], A380 [63], AZ91D [63]
Enthalpy control process (ECP)	Southern University of Science and Technology	7075 ($f_s\approx 0.4$) [23]
Limited angular oscillation (LAO)	Nanchang University	AZ91 [37]
†Gas induced semi-solid (GISS)	Massachusetts Institute of Technology	356 ($f_s\approx 0-0.21$) [64], 383 [65], 7075 ($f_s\approx 0.14-0.27$) [27]
†Swirled enthalpy equilibration device (SEED)	Alcan / STAS Inc.	356 ($f_s\approx 0.3-0.45$) [66], 206 [67], 7075 ($f_s\approx 0.42-0.55$) [68]
†New rheocasting (NRC)	UBE Industries	356 [69,70], AZ71 [71]
†Rapid slurry formation (RSF/RheoMetal)	Jonkoping University	356 ($f_s\approx 0.28-0.35$) [72], Al7Si [73], Al8Si [74], 6063 [74]
†Cooling slope (CS)	UBE Industries	356 [75], A380 [76], A390 [77], 6082 [78]

† Introduced methods

and stability of the slurry preparation process have always been the critical issues of concern. For instance, the air-cooled stirring rod (ACSR) process, a relatively novel method invented by QI et al [80] has gained increasing attention across both academic and industrial sectors. It can be used to prepare excellent slurry with the solid fraction (f_s) of 55%, the APS of 38 μm and the shape factor of 0.86, as shown in Fig. 1. Recently, their work showed that only 25 s was required to produce 32 kg of the Al alloy slurry using this powerful method [61]. Although only a handful of these self-developed methods in China have been cosmically employed in industrial production, these studies and attempts have accumulated valuable experience for the slurry preparation.

On the other side, some slurry preparation methods that have demonstrated the potential of mass production have been introduced to China to realize engineering applications, like swirled enthalpy equilibration device (SEED) and gas induced semi-solid (GISS). The SEED process, proposed by the Alcan (now Rio Tinto Alcan,

Canada) at the end of the 1990s, is a simple but efficient slurry preparation method that can produce high mass slurry with a high solid fraction (normally 35%–55%) [81,82]. This method was introduced to China in the early 2010s, and since then many production lines have been established. The development and some recent innovations of the SEED process in China will be reviewed in detail in the following section.

2.2 Development of SEED process

Figure 2 presents the SEED unit, crucibles of various sizes and the corresponding slurry. The principle is based on achieving rapid and controlled thermal equilibrium between a metallic crucible, the molten alloy and the environment by using the proper processing parameters such as pouring temperature, crucible size and swirled duration. This method includes the following three steps: (1) titling the crucible and pouring the molten alloy, (2) straightening the crucible and swirling it eccentrically at a certain speed for a certain duration, and (3) finally demolding and transferring

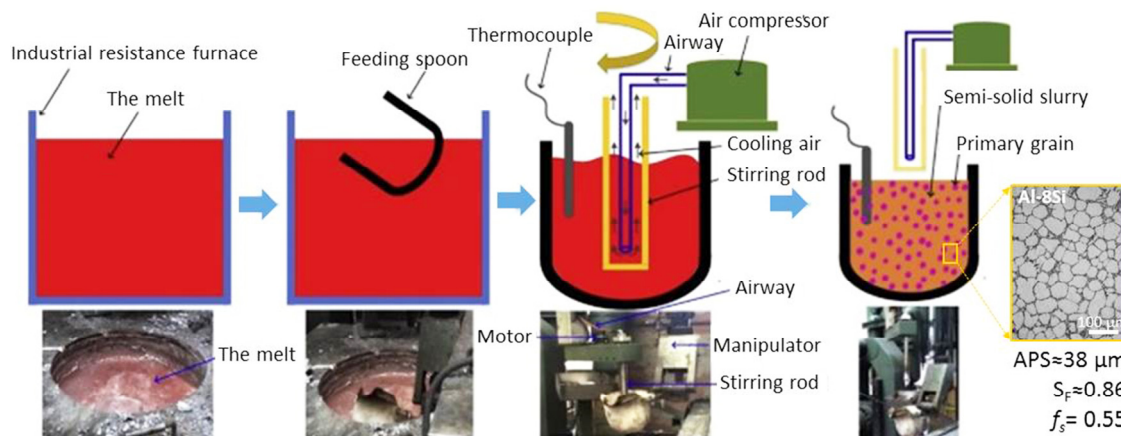


Fig. 1 Schematic diagrams and physical maps for slurry preparation of ACSR process (The inserted OM image reveals quenched microstructure of Al–8Si alloy slurry) [62,80]

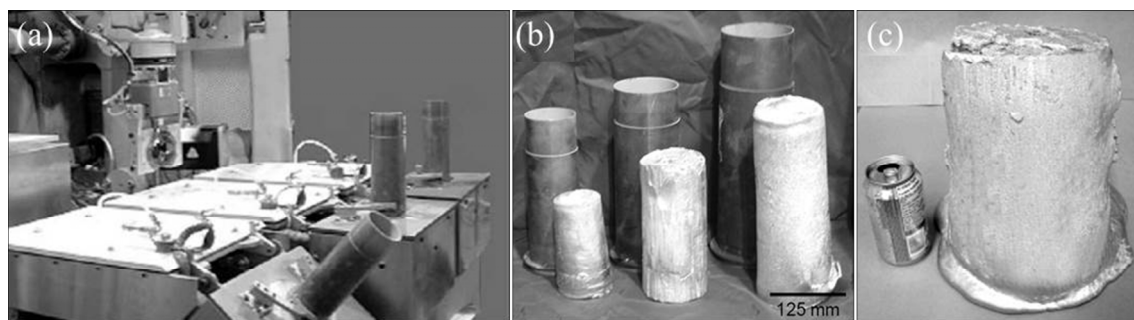


Fig. 2 SEED unit (3 modules) (a), crucible and slurry size (~2 to 10 kg) (b), and large A356 Al alloy slurry of ~18 kg (c) [83]

to forming [84]. As one of the few methods that can produce slurry with a high solid fraction, it has developed to industry level [22,85–87].

To further improve the efficiency of SEED process, LUO et al [88] conducted a secondary development, mainly comprising in-depth understanding the underlying thermodynamics and kinetic mechanisms of solidification process during the slurry preparation, optimizing the process and equipment (Fig. 3(a)). They concluded that the heat-transfer coefficient of the crucible-air interface and the slurry radius were the critical factors for decreasing the radial temperature difference of the slurry. Furthermore, they also established an accurate model to comprehend the relationship between temperature distribution along the slurry radius and parameters at a quasi-steady state [89]. The modified SEED process can noticeably

decrease the radial temperature gradient of the A201 alloy melt because of the slow cooling rates, facilitating more uniform slurry and more spherical microstructures, as illustrated in Fig. 3(b). In addition, the modified process can also prepare excellent slurry for the high-strength wrought Al alloys even though they have narrow processing window resulting from the long solidification temperature interval. As demonstrated in Fig. 3(c), the comparison of internal defects in between the original and modified SEED processes also showed that few pores were detected in the 7050 Al alloy part manufactured by the modified process.

Figure 4 shows the temperature distribution, rheological state and morphology of 7108 alloy slurry produced by the conventional and modified SEED processes. The uniformity of temperature distribution was obviously improved and the

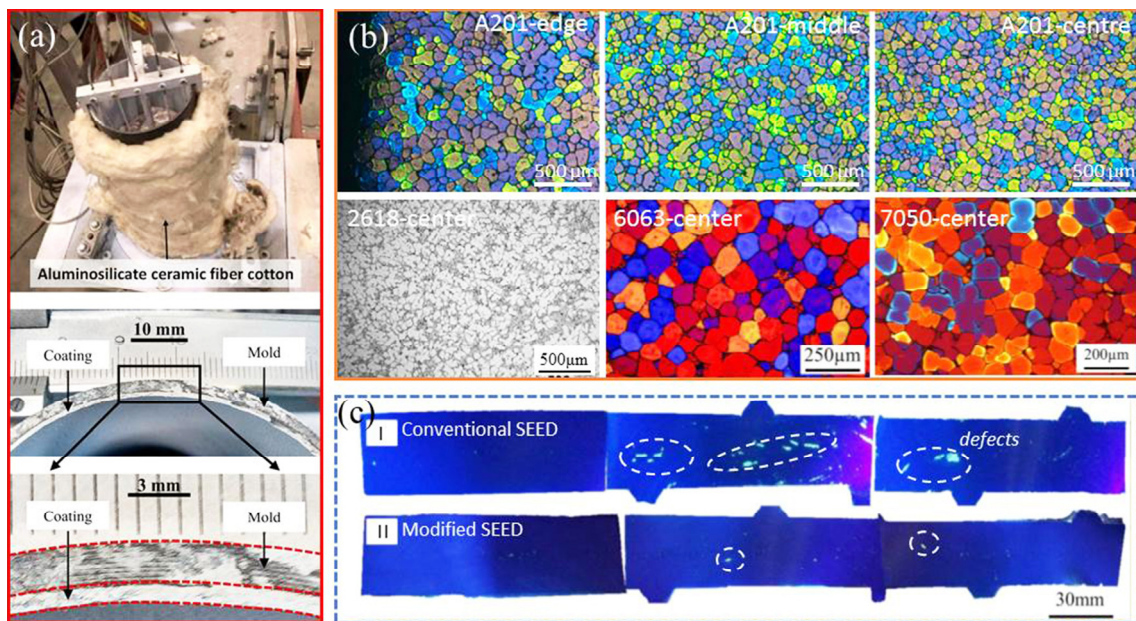


Fig. 3 Modified SEED process (photograph of ceramic fiber cotton on external mold surface and layer boron nitride coating on internal mold surface) (a) [89,90], A201, 2618, 6063 and 7050 Al alloy slurries at different position (b) [87,90], and results of internal defects of 7050 parts (c) [90]

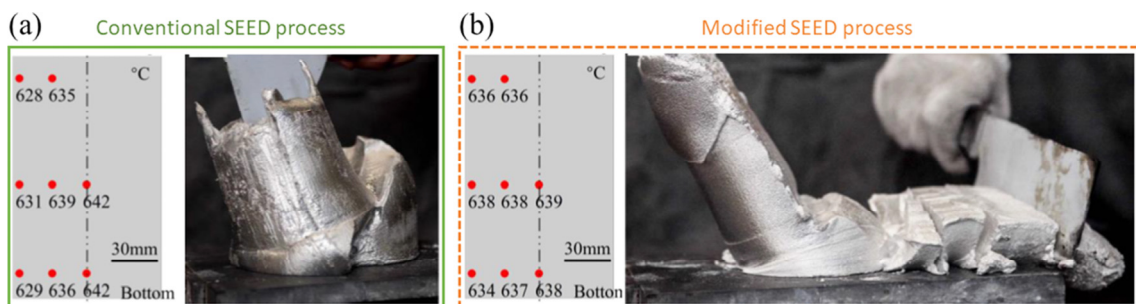


Fig. 4 Temperature distribution, rheological state and morphology of 7108 alloy slurry produced by conventional (a) and modified (b) SEED processes [90]

temperature difference reduced from ~ 14 to ~ 5 °C after optimizing the process, indicating that the difference of solid fraction and viscosity in different regions was quite small. This is conducive to enhancing the performance of the final parts. They also uncovered the influence of the heat exchange process on the microstructure of the slurry, concluding that the grain refinement can be achieved by increasing the heat exchange rate at the early stage of the SEED process. In addition, the influence of grain refiner and pouring temperature on the microstructure of 357 slurry prepared by the SEED process was also investigated. It was found that the fine and round microstructure could be processed at low pouring temperature, whereas the refiner had no significant impact on the microstructure at low casting temperature [25,91,92].

3 Performance of SSP fabricated materials

With the increasing popularity of SSP, it is significantly essential to assess the feasibility of its use for structure components through performance tests. The microstructural features caused by the distinctive slurry may improve the performance. In recent decades, a considerable number of investigations have been performed to study the excellent performance of the SSP fabricated materials in terms of tensile behavior, creep resistance, fatigue performance, wear resistance, corrosion resistance and so forth [93–100]. This section will mainly cover the tensile behavior and thermal conductivity, which have received researchers' much attention in the literatures.

3.1 Tensile behavior

In general, SSP of Al and Mg alloys is commonly realized by a sound combination of slurry preparation and a range of processing methods, such as rolling, squeeze casting (SC), high pressure die casting (HPDC) and thixomolding (for Mg alloys). In general, the static strength depends on the relative density as well as the microstructure formed during SSP. Hence, as compared to parts processed by classical routes (i.e., casting), the reduced defects and fine microstructure offered by SSP primarily result in improved tensile strength and elongation.

Some of the tensile properties of four types of popular alloys (i.e., A356 Al, 7075 Al, AZ91 Mg and AZ91D Mg) from the literatures are shown in Tables 2–3 and Fig. 5. It can be clearly observed that the SSP fabricated alloys reveal better performance than their conventionally processed counterpart in the as-fabricated condition, indicating the effectiveness of the SSP. The reason for the increment of mechanical properties is primarily twofold. The first is the refinement of the α -Al/ α -Mg. It has been proved that fine spherical primary particles are conducive to mechanical properties while the refinement of α_2 -Al/ α_2 -Mg within the eutectic structure is a critical factor determining the yield strength of alloys [64]. Hence, grain refinement causes an increase in both yield strength (YS) and ultimate tensile strength (UTS) due to the Hall-Petch effect. The second is the high relative density resulting from less entrapped air and shrinkage porosity. It is widely accepted that porosity is detrimental to mechanical properties and one of the key targets of processing structural components is reducing internal porosity. For SSP, the unique slurry exhibits distinctive pseudoplasticity and thixotropy, dramatically reducing air entrapment during filling because of a higher viscosity compared with the liquid metal [14,17]. The lower forming temperature during SSP also brings about lower solidification shrinkage, which can reduce defects and obtain near fully-dense parts.

The mechanical properties are noticeably improved for these heat-treatable alloys as the porosity is very low. As exhibited in Fig. 5, there is a remarkable increase in UTS after heat treatment. The objective of any heat treatment procedure is to modify the microstructure to alter the properties to suit its function in an application [10]. However, the heat treatment has mostly been limited to the application of traditional routes to the SSP fabricated materials. These traditional procedures have been specifically tailored for the different microstructures that are processed by conventional processes. Accordingly, designing or revising a heat treatment procedure for SSP should consider both the starting and resultant microstructures.

On the other hand, it is also worth mentioning that the SSP fabricated materials also display better-elevated temperature properties. For example, QI et al [62] found that the Rheo-HPDC Al–Si–Fe alloy

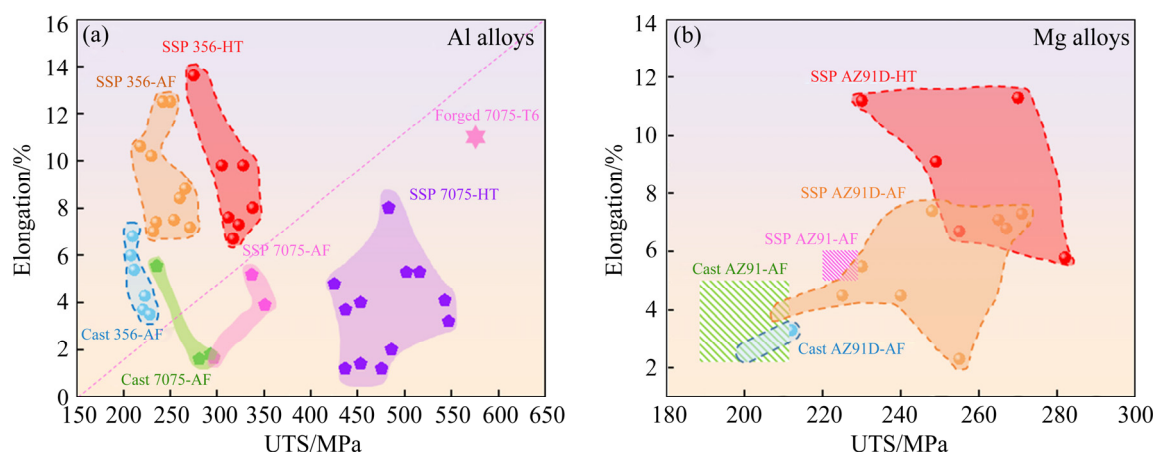
Table 2 Mechanical properties of A356 and 7075 Al alloys fabricated by various SSP processes as compared to conventionally processed alloys in different conditions

Alloy	Process	HT	UTS/MPa	YS/MPa	EL/%	Hardness (HV)	Ref.
A356	HPDC	AF	223	—	4.28	—	[30]
	IUV Rheo-HPDC	AF	254	—	7.5	—	[30]
	IUV Rheo-SC	T6	338	227	8	126	[101]
	GISS Rheo-HPDC	AF	235	—	7.4	—	[65]
	GISS Rheo-HPDC	T6	312	277	7.6	—	[102]
	GISS Rheo-HPDC	T6	305	272	9.8	—	[102]
	ISCT Rheo-HPDC	AF	242	—	12.5	73	[103]
	HPDC	AF	221±17	135±6	3.7±1.4	71±9	[43]
	FCS Rheo-HPDC	AF	260±6	181±4	8.4±0.5	79±5	[43]
	FCS Rheo-HPDC	T4	275±8	166±6	13.6±1	82±7	[43]
	FCS Rheo-HPDC	T6	323±10	232±7	7.3±0.8	93±8	[43]
	GDC	T6	315±4.9	268±2.1	3.2±1.6	—	[104]
	CSIR Rheo-HPDC	T6	317±3.6	261±1.4	6.7±1.9	—	[104]
	CSIR Rheo-HPDC	AF	218±2.5	113±3.0	10.6±1.6	—	[104]
	CS Rheo-SC	AF	232	—	7	—	[105]
	SC	AF	208	—	6	—	[105]
	SIM Rheo-HPDC	AF	271	—	7.17	—	[39]
	HPDC	AF	211.5–220.8	—	5.4–6.7	—	[106]
	SCP Rheo-HPDC	AF	249.8–250.1	—	12.5–13.2	—	[106]
	SCP Rheo-HPDC	T6	327.8–331.2	—	9.8–11.3	—	[106]
	LSPSF Rheo-HPDC	T6	330	240	13	—	[34]
	RBRM Rheo-HPDC	AF	230	—	10.2	—	[107]
	HPDC	AF	210	—	6.8	—	[107]
	HPDC	AF	221±17	148±6	3.7±1.4	70±9	[63]
	DCC Rheo-HPDC	AF	266±7	175±5	8.8±0.7	78±7	[63]
7075	HPDC	AF	227.7–243.3	—	5.55	—	[46]
	ICSPC Rheo-HPDC	AF	293.1–299.5	—	1.65	—	[46]
	ICSPC Rheo-HPDC	T6	461.6–489.5	—	1.2	—	[46]
	CSIR Rheo-HPDC	T6	516	453	5.3	—	[108]
	Metal casting	T6	290–350	—	2–4	—	[109]
	CS Rheo-SC	AF	211–288	—	1.8–3	—	[109]
	CS Rheo-SC	T5	425	—	4.8	—	[109]
	CS Rheo-SC	T6	453	—	4	—	[109]
	CS Rheo-SC	T7	437	—	3.7	—	[109]
	CS Rheo-SC	T6	502	—	5.3	—	[109]
	HPDC	AF	281±25	222±9	1.6±0.9	86±14	[43]
	FCR Rheo-HPDC	AF	337±11	249±6	5.2±0.6	96±11	[43]
	FCR Rheo-HPDC	T6	543±15	506±9	4.1±0.7	172±15	[43]
	LSPSF Rheo-HPDC	AF	290–310	—	—	—	[36]
	LSPSF Rheo-HPDC	T6	483	—	8	—	[36]
	GISS Rheo-HPDC	T6	486	—	2	—	[110]
	SCP Rheo-HPDC	AF	210–260	—	0.2–1.7	—	[53]
	SCP Rheo-HPDC	T6	420–453	—	1.0–1.4	—	[53]
	HPDC	AF	293	231	1.8	—	[111]
	ACSR Rheo-HPDC	AF	351	254	3.9	—	[111]
	ACSR Rheo-HPDC	T6	547	494	3.2	—	[111]
	Forged	T6	572	503	11	—	[112]

HT: Heat treated; AF: As fabricated; HPDC: High pressure die casting; SC: Squeeze casting

Table 3 Tensile properties of AZ91 and AZ91D Mg alloys fabricated by different processes

Alloy	Process	HT	UTS/MPa	YS/MPa	EL/%	Hardness (HV)	Ref.
AZ91	HPDC	AF	186–212	–	3.2–5	–	[37]
	Rheo-HPDC	AF	215–227	–	4.8–6	–	[37]
AZ91D	HPDC	AF	212	146	3.3	–	[113]
	HPDC	AF	200	122	2.5	–	[114]
	Thixomolding	AF	240	–	4.5	–	[115]
	NRC	AF	230	–	5.5	–	[69]
	TBR Rheo-HPDC	AF	225	138	4.5	–	[116]
	RCP Rheo-HPDC	AF	255	157	2.3	–	[113]
	RDC	AF	248	145	7.4	–	[117]
	RDC	T4	230	91	11.2	–	[118]
	RDC	T5	236	133	6.5	–	[118]
	RDC	T6	255	134	6.7	–	[119]
	RDC	Tx	249	132	9.1	–	[119]
	FCS Rheo-HPDC	AF	265	169	7.1	–	[43]
	FCS Rheo-HPDC	T4	270	110	11.3	–	[43]
	FCS Rheo-HPDC	T6	282	171	5.8	–	[43]
	Rheomolding	AF	271	169	7.3	–	[120]
AZ91D	HPDC	AF	205±12	142±7	2.8±0.6	73±9	[63]
	DCC Rheo-HPDC	AF	267±6	163±6	6.8±0.5	82±6	[63]

**Fig. 5** Ultimate tensile strength versus elongation for some popular alloys processed by SSP and traditional processes in different conditions: (a) A356 and 7075 Al alloys; (b) AZ91 and AZ91D Mg alloys

has superior mechanical properties at elevated temperature than those of HPDC alloys because the refined and uniformly dispersed iron-rich intermetallic can prevent grain boundary sliding more effectively. Similar results have been also reported for hypereutectic Al–Si alloys.

3.2 Thermal conductivity

Researches on the thermal conductivity of SSP

fabricated materials (normally Al alloys) are more recent. Al is an excellent conductor for electricity and thermal conductivity, coupled with its mass and price compared to copper (Cu), silver (Ag) and gold (Au) [10]. Therefore, Al alloys have attracted common attention and have been extensively studied for the last years, especially in the communication industry. It is widely accepted that the less porosity and higher relative density induced

by SSP trigger preferable thermal conductivity. To date, a range of alloys with high thermal conductivity, such as Al8Si [72], Al7.5Si0.8Fe [59], Al–6Si–2Cu–Zn [121] alloys, that are suitable for SSP have been explored.

A comparison of thermal conductivity of some alloys is displayed in Fig. 6, demonstrating that SSP can effectively increase the thermal conductivity, posing an efficient strategy to remove excessive heat generated by the operation of devices. The thermal conductivity of these new alloys is higher than that of some common alloys like A383, A356, and A380 Al alloys. In addition, a series of Al alloys with an outstanding combination of heat, wear and thermal expansion properties have been developed for SSP by LIU et al [122], ZHONG et al [127] and XIONG [128]. It is known that as the Mg_2Si phase can expand the diffraction of electrons to reduce the average free path of electrons, 6063 Al alloy is a popular alloy that has medium strength with superb thermal conductivity. Nevertheless, systematic research on understanding the impact of SSP on the microstructure and performance of 6063 Al alloy is scarce.

4 Numerical modeling and simulation for SSP

As the process of SSP is not visible, numerical simulation technology has become one of the main methods to visualize it, explore its specific mechanism, and optimize the structure design of apparatus, and processing parameters in the slurry preparation and forming process. It is the other leg

for the improvement of forming process compared with the experimental method. The modeling and simulation of the SSP have developed towards the integrated, multi-scale, and multi-physical-field direction.

Two important aspects in the numerical study are microstructure evolution and fluid flow together with their interactions. For the microstructure evolution, several methods are used, like stochastic methods Monte Carlo (MC) and Cellular Automata (CA), direct simulation methods phase field (PF), front tracking (FT) and level set (LS), and deterministic method. The most used methods are PF and CA. Because the PF method is based on the theory of free energy minimization of systems proposed by Ginzburg-Landau, the PF model becomes the preferred method in studying problems concerned with phase transformation. In the simulation about the rheological flow of semi-solid slurry, various apparent viscosity one-phase models and two-phase models have been developed, as summarized by ATKINSON [14]. The following section will be divided into two parts. One is for the overview of the microstructure evolution, while the other is for fluid flow.

4.1 Microstructure evolution

In studies about the microstructure evolution in semi-solid process, little effort has been made compared with other forming processes, like directional solidification, welding, and traditional casting. Although the foundation for the solidification is the same, the process parameters and typical eigenvalue about the thermodynamics

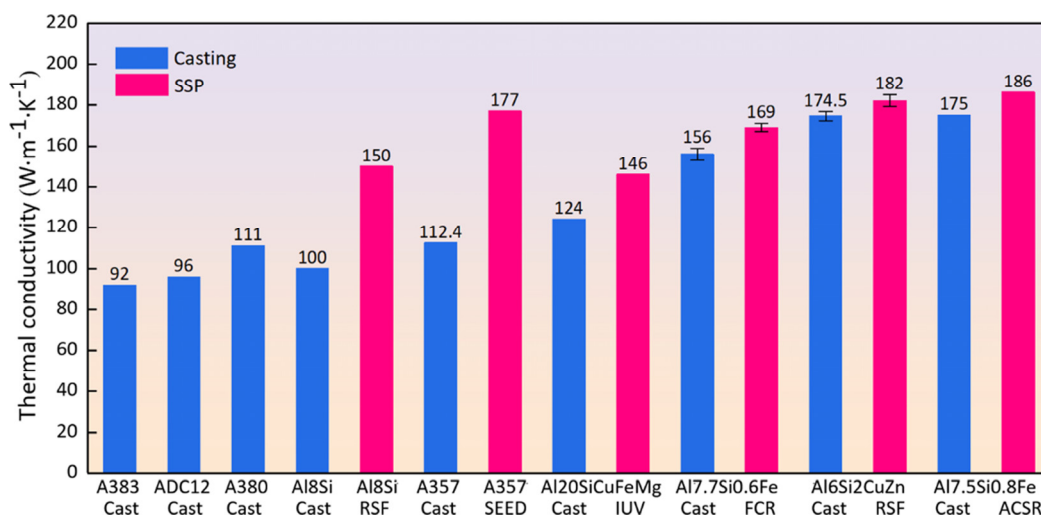


Fig. 6 Comparisons of thermal conductivities for SSP fabricated and some conventionally casting Al alloys [61,72,121–126]

and kinetics are different. Little difference in the eigenvalue may result in a different microstructure. To make the difference clear briefly, different process characteristic parameters are summarized and compared in Table 4. It can be seen from the data in Table 4 that only the effects of a single factor or a few variables on the microstructure morphology were studied, but the coupled influence has not been investigated sufficiently. The morphology of microstructure is the result of many factors, including the rate of temperature change, initial temperature, initial chemical composition, environmental pressure, holding temperature and time, temperature gradient, local melt convection degree, the addition of nucleating agent, and so on. Every phase transformation is driven by thermodynamic and kinetic variables. The experimental study focuses on the influence of macroscopic parameters on the final microstructure morphology. However, there are very complex interactions of microscopic parameters under macroscopic conditions. In-situ observation can obtain some microscopic details and reveal

macroscopic phenomena to a certain extent. However, there is no quantitatively analyzable model, which can describe the relationship between field variables [142–146].

The information about the final microstructure, such as (1) intuitive understanding of various phase morphologies, (2) statistic data about the grain size, morphology, and volume fraction, (3) local solute distribution, and (4) transient information from in-situ experiments, can be obtained from the experimental study [66,147]. These data are almost final values or transient ones from interrupted experiments rather than continuous process ones, so the inner mechanism can only be deduced by inverted deriving a formula or verifying an existing theory. By contrast, it is a forward evolutionary process with full information about each variable field by numerical modeling while the simulation results can be verified by the experimental results. Numerical modeling and simulation are one powerful method to uncover the mechanism underneath the microstructure formation during the semi-solid process. However, the concentration

Table 4 Eigenvalue about thermal and kinetic data in different processes

Process	Alloy	Cooling rate/ ($^{\circ}\text{C}\cdot\text{s}^{-1}$)	Undercooling degree/ $^{\circ}\text{C}$	Temperature gradient/ ($^{\circ}\text{C}\cdot\text{mm}^{-1}$)	Forced convection/ ($\text{m}\cdot\text{s}^{-1}$)	Perturbation
Semi-solid	Al–4.5%Cu [129]	–	20–40	–	0–0.1	–
Semi-solid	Al–2.0%Si [130]	–	–	–	–	0–0.4
Semi-solid	357.0 [131,132]	0.0162, 0.162, 1.62	2.5, 8.3, 16.3	0.230, 0.442, 0.657	0–0.735	0.0005
Cooling slope	A380 [133]	8.3–11	–	–	–	–
–	AZ91D [134]	1–2	–	–	0.055–0.22	–
Free solidification	Al–Pb, Al–Bi, Al–In, Cu–Pb [135]	–	–	0.6–1.0	–	–
Directional solidification	–	–	–	5.0–6.0	–	–
Fast solidification	Al–1Si, Al–3Si, Al–6Si, Al–9Si, Al–12Si, Al–15Si [136]	–	–	1.0×10^4	–	–
Directional solidification	SCN–ACE [137]	–	–	7	–	–
Directional solidification	Al–15Cu [138]	–	–	11	–	–
Ultrasonic	Bi–8%Zn [139]	–	–	5.2–6.4	–	–
Directional solidification	Al–Zn [140]	–	–	10.0–20.0	–	–
Welding	Cu/Sn–9Zn/Cu [141]	–	–	50.8	–	–

paid on this aspect is far from enough, especially in semi-solid processing. The status, problem, and possible directions of effort about the modeling and simulation for microstructure evolution in semi-solid forming will be analyzed and discussed below.

Although the existing numerical studies can depict some typical phenomena found in practice, (see Fig. 7) a universally accepted explanation for the mechanism has not been found. Table 5 shows that the numerical work concerned grain growth in the semi-solid slurry is very limited. Since there is no systematic and perfect parameter and simulation system, it is not portable to simulate the microstructure of different alloys with different process parameters. Variation of process parameters will affect some phase transition coefficients (like solute partition coefficient, solidus, and liquidus, etc.) in a certain way. But how and to what extent these coefficients have been affected have not been studied. The existing simulation work only uses empirical values, which are not properly modified when applied to alloys with different compositions. At present, high-performance computing and model optimization have made great progress. The computing power and model convergence stability have been greatly improved. Then much fundamental research needs to be done about the material properties and solidification characteristics to improve simulation accuracy.

4.2 Fluid flow simulation

The flow simulation of the mold filling process is another one of the most interesting aspects. Although many models concerned with one-phase

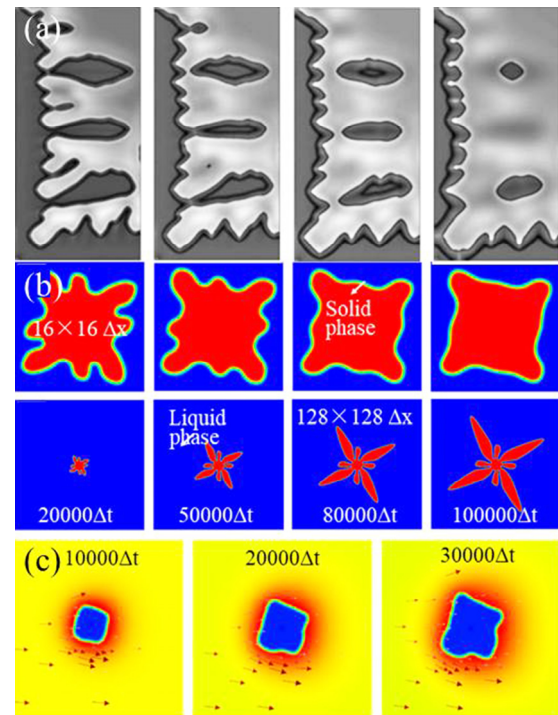


Fig. 7 Typical phenomena depicted in numerical simulation: (a) Detaching [148]; (b) Nucleation effect [131]; (c) Fluid flow effect [131]

or multiphase and simulation methods have been proposed, as summarized in Ref. [14], these models and simulation methods are not universal, but only applicable to a certain alloy, product, and process. The reason is because of the multiple properties of semi-solid slurry. Its macroscopic flow conforms to the power-law model of shear thinning, while the microscopic movement of its internal particles conforms to the multiphase flow theory. Three

Table 5 Status about microstructure simulation in semi-solid process

Method	Alloy	Static anisotropy coefficient	Kinematic anisotropy coefficient	Liquid/solid surface energy/ (J·cm ⁻²)	Kinetic coefficient between phases/ (cm ⁴ ·J ⁻¹ ·s ⁻¹)	Gibbs–Thomson coefficient/ (m·K)	Lewis number
PF	A380 [133]	0.5	0.2	2×10^{-5}	0.05	—	—
PF	Binary alloy [148]	—	—	—	—	—	—
PF	357.0 [131]	0.02	—	—	—	—	1
PF	357.0 [132]	0.02	—	—	—	—	1
PF	357.0 [149]	0.02	—	—	—	—	1
CA	A356 [150]	—	—	—	—	9×10^{-8}	—
CAFÉ	Al–7Si [151]	—	—	—	—	2×10^{-7}	—
Self-developed software	AZ91D [134]	—	—	—	—	—	—

viewpoints about the multiphase nature of semi-solid slurry are drawn from the literatures and listed in Table 6. These viewpoints artificially divide the two phases in the slurry, and there is a certain deviation between the established model and the reality. Liquid and solid particles in the semi-solid slurry are an organic whole, and they interact with each other in complex ways. However, the current studies only focus on the macroscopic rheological flow behavior or the internal multiphase flow characteristics, and do not combine the two organically to study the constitutive flow behavior. Figure 8 shows the efforts and simulation results of establishing a two-phase flow model by considering interphase interactions [156,157]. Although the spatial distribution of the solid phase can be depicted and has a certain accuracy compared with the experimental results, it is difficult to visualize the granular phase as separate particles.

The next research direction is combining CFD and DEM, and coupling the microstructure characteristics with the macroscopic rheological properties to establish a rheological model of slurry based on its internal microstructure characteristics. This model is not the one that only takes a slurry

temperature or solid fraction as variables but considers the size, morphology, and volume fraction of the solid particles in the slurry as essential variables. The corresponding numerical results will give more details about the forming process of phase segregation, porosity, and other filling defects, also the final microstructure which determines the performance of products. This detailed information is critical to process optimization.

5 Industrial application

5.1 General situation

SSP of Al and Mg alloys has been investigated and developed for about half a century. In 1978, Alumas engineered metal process (AEMP, USA) has established an SSP production line, which means that SSP technology has entered the stage of industrial application research. Since then, more production lines have been set up in Europe, the United States and so forth. Since the 1980's, China has been involved in SSP research, which has gradually attracted the extensive attention of scholars. In 2000, researchers from mainland China first participated in the 6th International Conference

Table 6 Three viewpoints about multiphase property of semi-solid slurry

Semi-solid slurry	Point 1 [152]	Point 2 [153]	Point 3 [154]
Solid phase	Skeleton	Non-Newtonian	Viscoplastic+yield
Liquid phase	Darcy's law	Newtonian	Newtonian

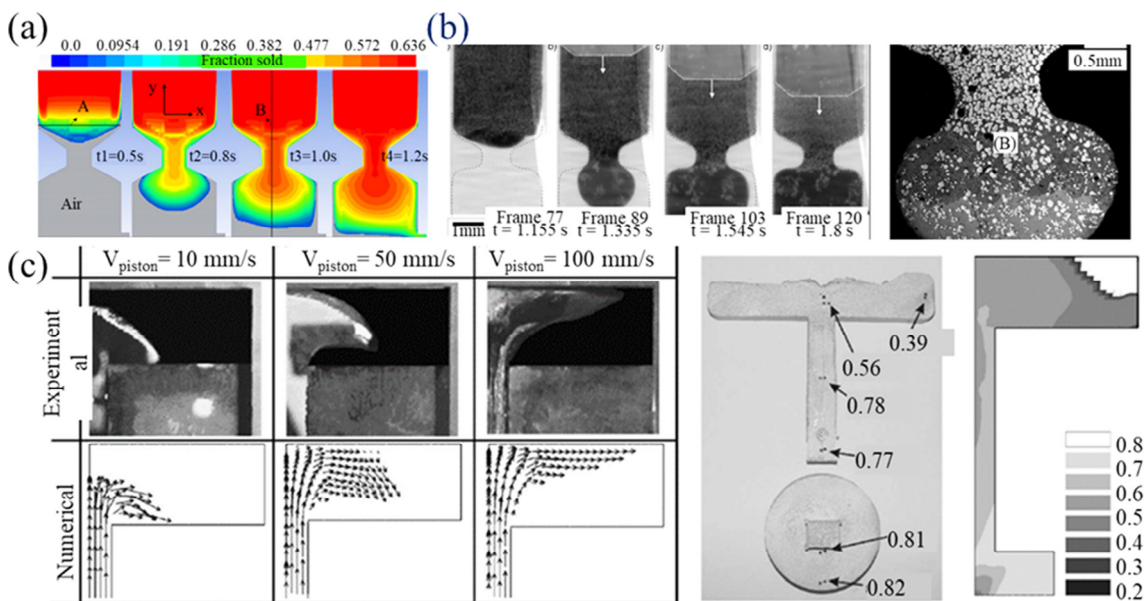


Fig. 8 Simulation of fluid flow during SSP: (a) Two-phase simulation [155]; (b) In-situ observation [156]; (c) Two-phase flow, and experiment results of T-shape cavity [157]

on SSP held in Italy, and the studies on SSP technology were rising. Furthermore, the SSP technology was officially listed in the “industrial development catalog in China” in 2011, marking the application in China’s industrialization. Since 2010, the upsurge of research and application of SSP in the world seemed to fade. But there seems to be a resurgence in demand for SSP as demonstrated by a considerable number of participants at the 15th SSP Conference in Shenzhen, China (S2P 2018) [21].

Although the research of SSP in China is relatively late, great progress has been achieved with the support of a collection of policies and funds and with the drive of industrial upgrading. Recently, as a huge industrial power and potential market, China immensely promotes the application of SSP primarily due to the automobile lightweight and the upgrading of communication equipment. Currently, at least 30 enterprises focus on the research and development (R&D) of SSP in China, whereas the number for North America and Europe

is relatively limited. Similar to the distribution pattern of other manufacturing industries in China, the SSP enterprises are mostly situated in the eastern coastal areas, particularly in the Pearl River Delta (PRD) and Yangtze River Delta (YRD) regions. It also should be noted that the COVID-19 has been basically well controlled in China, which is also favorable in the recovery and development of the SSP industry.

As discussed in Section 2.1, only very limited number of SSP technologies are suitable for large-scale practical production and commercial development. For Mg alloys, thixomoulding is the dominant process in the world [1]. The major SSP of Al alloys applied in China is rheocasting in terms of SEED, RSF/RheoMetal and GISS processes, and they have a wide range of applications, as listed in Table 7. Very recently, the DCC and ACSR processes are also gaining favor quickly across the academic and industrial sectors [28,60]. Some recent examples of successful development and applications in China will be

Table 7 SSP processed products in production [158]

Application field	GISS	RSF/RheoMetal	SEED
Automotive	Auto gearbox	Compressor parts	Brackets
	Brake system components	Cooling units for power electronics	Control arm
	Chain covers		Engine bearing cap
	Engine block		Engine bracket
	Oil pan		Shock towers
	Steering wheels		Turbo impeller
Electronics	Handphone covers	Heat sinks	Heat sinks
	Hard disc drive housing	Radio filters 4G and 5G	Radiator housing
	Heat sinks		
	Radio filters 4G and 5G		
Heavy duty truck components			Brake calliper
			Brackets
	Truck gearbox	Muffler holders	Knuckle
			Skeleton joint
Machinery		Machine parts with steel inserts	
Marine application	Sacrificial anode	Winch housing	
Medical components	Prosthetics		
Military components	Cast 7075 composite armour plate		
Sports	Bicycle components		Motocross frame
	Motorcycle parts	Bicycle components	Wheel knuckle

exhibited and discussed in more detail in the next section.

5.2 Some outstanding cases

As illustrated in Figs. 9(a–d), a sequence of Al components has been redesigned for automotive by our team. These Al components have replaced conventional steel parts and a significant mass reduction of 35%–65% was achieved. Figure 9(e) demonstrates the performance comparison of radiator housing processed by SEED Rheo-HPDC and traditional HPDC, signifying that the SEED process can markedly improve the thermal conductivity while noticeably reducing the cost and mass. This promising method was also used to explore and manufacture a series of Al parts in

various sectors, such as steering knuckle for passenger cars, bracket for commercial vehicles, inverter main box for new energy vehicles and communication cavity used in communication industry, as shown in Fig. 10. As illustrated in Figs. 9 and 10, the outline of the parts fabricated by SEED process is quite clear without obvious defects and exhibit high surface quality, illustrating that the mold filling process is quite satisfactory.

The RSF process, introduced into China in 2008, with over 30 machines delivered, has mainly found its applications within light emitting diode (LED) fittings and heat sinks, as well as other applications within the transportation industries, as shown in Figs. 11(a–h). Some application examples from marine equipment, sports equipment and

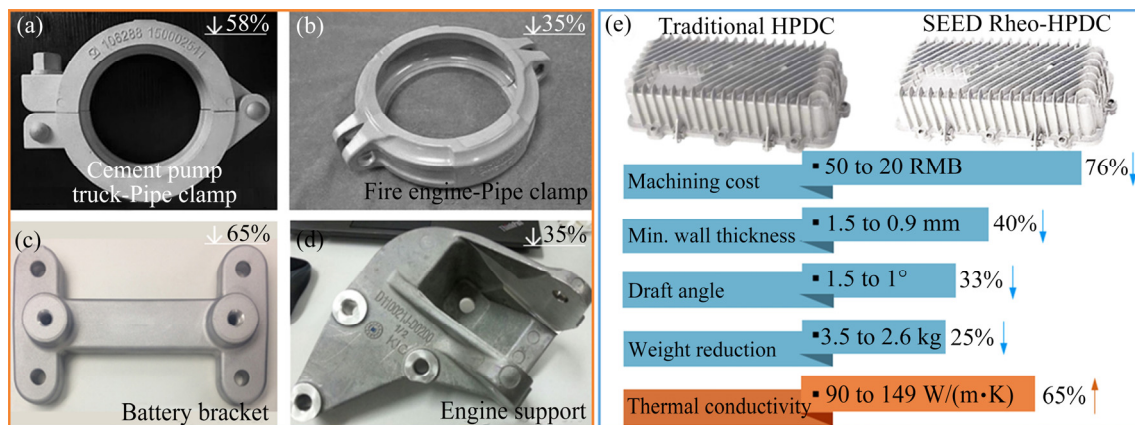


Fig. 9 Al parts fabricated by SEED: (a) Pipe clamp for cement pump truck; (b) Pipe clamp for fire engine; (c) Battery bracket; (d) Engine support; (e) Radiator housing

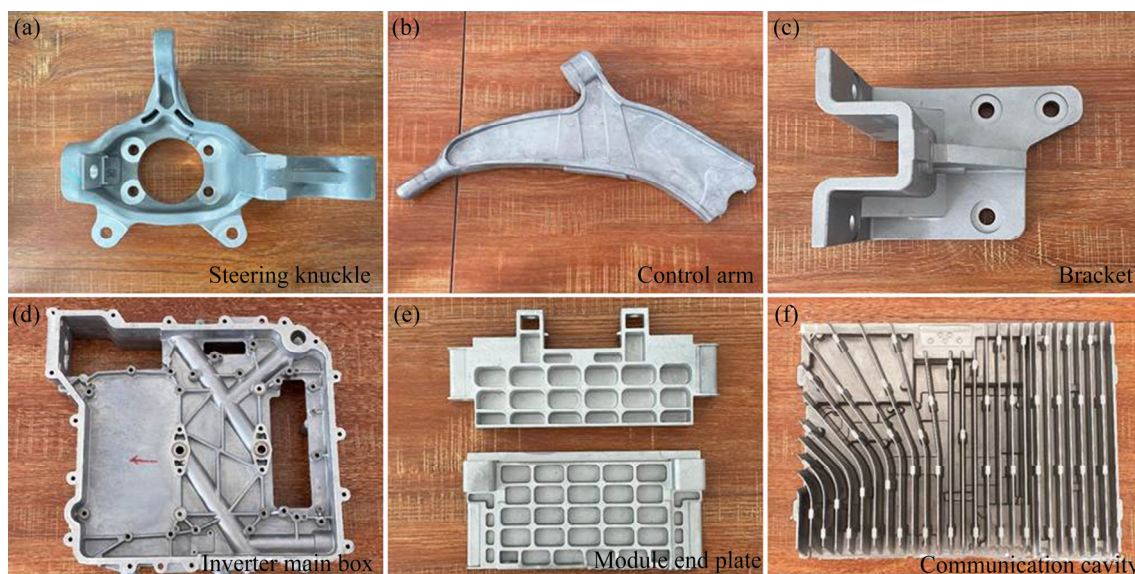


Fig. 10 Some SEED produced components used in various sectors: (a) Steering knuckle; (b) Control arm; (c) Bracket; (d) Inverter main box; (e) Module end plate, (f) Communication cavity

railway transport have also been illustrated. For example, the lock body (Fig. 11(d)), one of the key components of high-speed train door produced by the CAM (Jiangle) Institute of Semi-solid Metal Technology (Sanming, China), has brought about a mass reduction of 65% with machining reduction by half. The V-shaped radiating tooth filter housing, as shown in Fig. 11(i), with middle disconnection

and with minimum tooth thickness of 1.1 mm displays the clearly discernible outlines and excellent surface quality of the shell part, signifying that the mold filling is well-pleasing.

Figure 12 shows some typical automobile and communication products fabricated by ACSR Rheo-HPDC. These parts were developed by the group in the University of Science and Technology

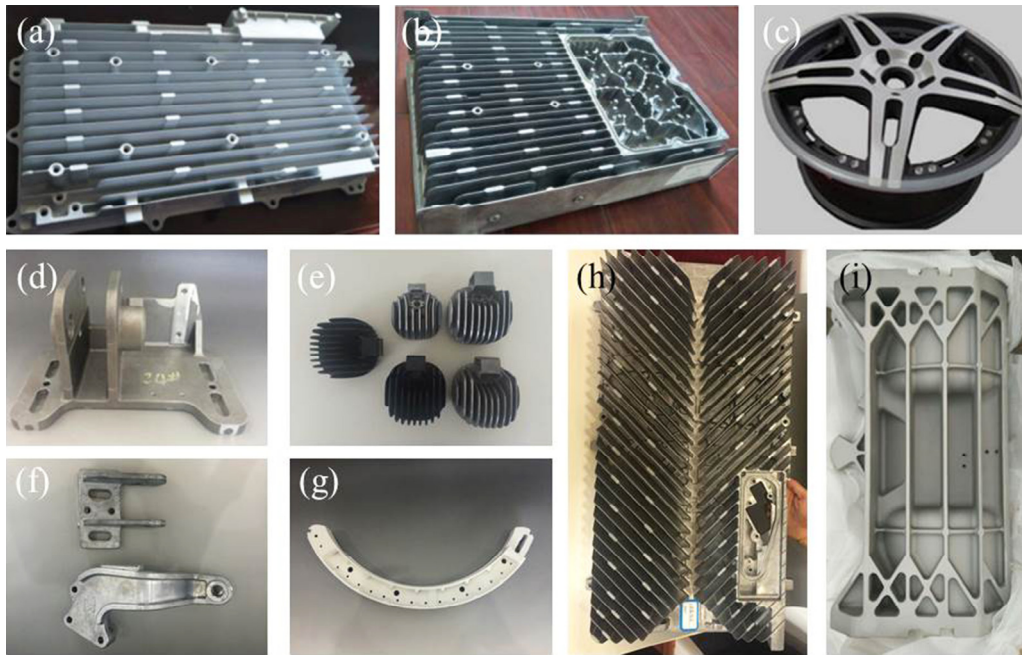


Fig. 11 RSF fabricated components: (a, b) Al-8Si telecommunication parts with complex structure; (c) Auto-hub; (d) Lock body; (e) LED fittings; (f) Swing arm; (g) Explosion-proof tire bracket; (h) V-shaped radiating tooth filter housing; (i) Crossbeam for new energy vehicles [72,159]

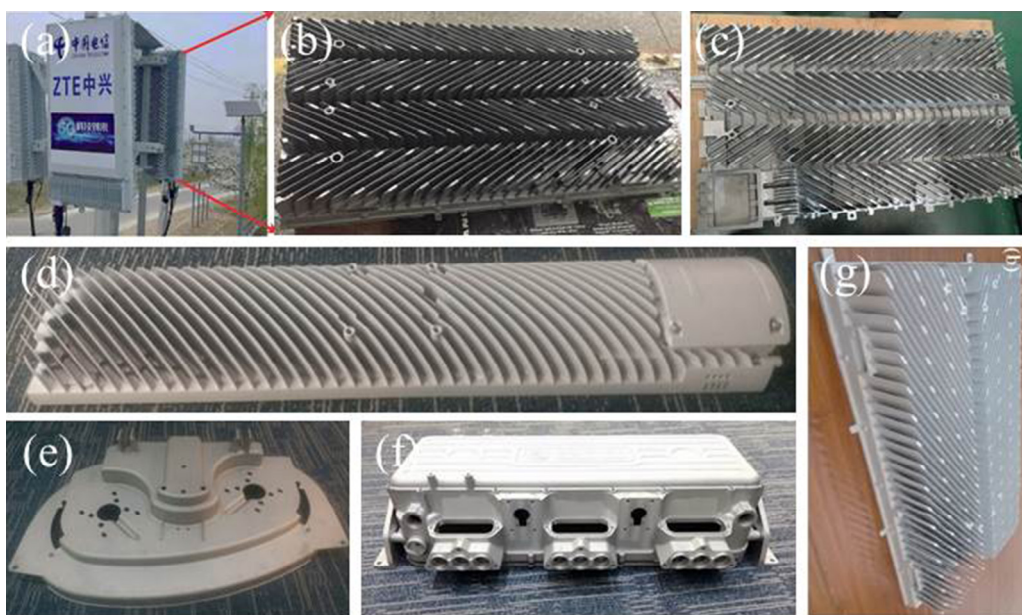


Fig. 12 Typical ACSR Rheo-HPDC products: (a–c) Application scenario and morphology of large thin-walled 5G base station heat dissipation shells; (d) 4G heat dissipation shells; (e) New energy vehicle end cover; (f) New energy vehicle power converter shell; (g) Another large thin-walled 5G base station heat dissipation shells [61,160]

Beijing (Beijing, China) and were produced by Zhuhai Runxingtai Electric Appliance Co., Ltd (Zhuhai, China). Dozens of production lines have been built at Zhuhai and over 3 million components are manufactured each year [21]. Figures 12(a–c) display the application scenario, the front and the back of large thin-walled 5G communication base station heat dissipation shells, with outer dimensions of $933\text{ mm} \times 470\text{ mm} \times 80\text{ mm}$, a tooth thickness of 1 mm , and a tooth height of 70 mm [61]. A recent large thin-walled part is also exhibited in Fig. 12(g), with the external dimensions of $878\text{ mm} \times 447\text{ mm} \times 171\text{ mm}$ [160]. These successful applications have proved again the feasibility of SSP to grapple with the issue of large thickness difference of the thin-walled parts, which has long been regarded as a thorny problem in the casting industry. Furthermore, these ACSR fabricated components for different applications also demonstrate higher strength and elongation, higher heat conductivity, more excellent corrosion resistance and mass reductions in comparison with traditional casting [59].

The GISS process has found the broadest range of applications, and over 70 companies are using this powerful technology in China for the mass-production of automotive parts and communication components [20,63,158,161]. It is recently reported that GISS has been commercially used in almost all areas, but the dominant field is within the communication and electronics industry [158]. The improved productivity and reliability, low cost, and good comprehensive performance of components are the main elements for them to win the favor of the market [20,161]. As displayed in Fig. 13, it is feasible for GISS to precisely produce the communication components that always have multifarious characteristics and sizes.

6 Summary and outlook

6.1 Summary

The past several years have witnessed the significant progress of the SSP in the fundamental research and industrial applications in China. In this review, we first focus on discussing the development of slurry preparation methods and recent innovations of the SEED process. Subsequently, the performance of components fabricated by SSP in terms of tensile behavior and thermal conductivity are overviewed. Then the foundation understanding about the microstructure evolution and rheological flow behavior obtained by numerical modeling and simulation is reviewed and discussed. Finally, we summarize the current general situation of industrial application and present a range of successful examples. The remarkable success has definitely established SSP among the competitive approaches for fabricating high-quality components due to its low cost, short process and low energy consumption. SSP will contribute to the further development and exploration of processing cost-effective and complex structural components in a range of industries in the future.

6.2 Outlook

With the rapid pace of industrialization, industrial parts made in China occupy more and more share in the international market [162–164]. At present, China is the large automobile consumer market in the world, and the annual sales of the sixth straight year have been more than 24 million, as shown in Fig. 14(a). In addition, although the outbreak and spread of COVID-19 shatter the global automotive industry, China has not been greatly affected and constitutes over 32.4% of the

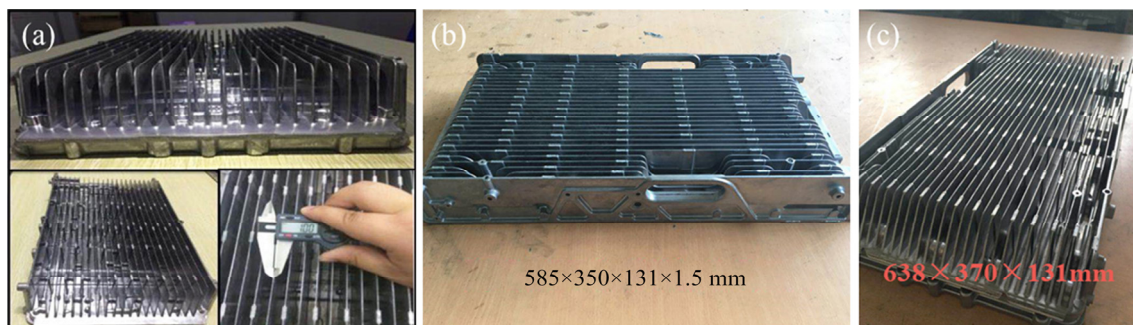


Fig. 13 Application cases of communication parts with various shapes and sizes processed by GISS

world's car sales in 2020, hitting record levels. There was also a dramatic increase in the number of base stations in China, as shown in Fig. 14(b). It is reported that China has built the world's largest communication network, with 5.75 million 4G and 0.72 million 5G base stations, accounting for more than 50% and 70% of the world's total, respectively. Following the swift growth of automotive and communication industries, high-quality components with low cost are eagerly desired, which brings a promising opportunity for the development and application of SSP of Al and Mg alloys [5,167].

Overview of SSP of Al and Mg alloys regarding some key aspects and characteristics is

displayed in Fig. 15, indicating that there is quite a long process from scientific spark to engineering realization. Based on the previous studies, this section primarily summarizes the current deficiencies of SSP of Al and Mg alloys in both scientific and application sectors, and presents an outlook for the follow-up research work and delivers guidelines on the future progress of this frontier field.

(1) It is widely known that materials should be designed for their processing route. But, so far, almost all the materials that are widely used in SSP are developed for traditional processes, as shown in Table 1. Hence, effective control during the slurry

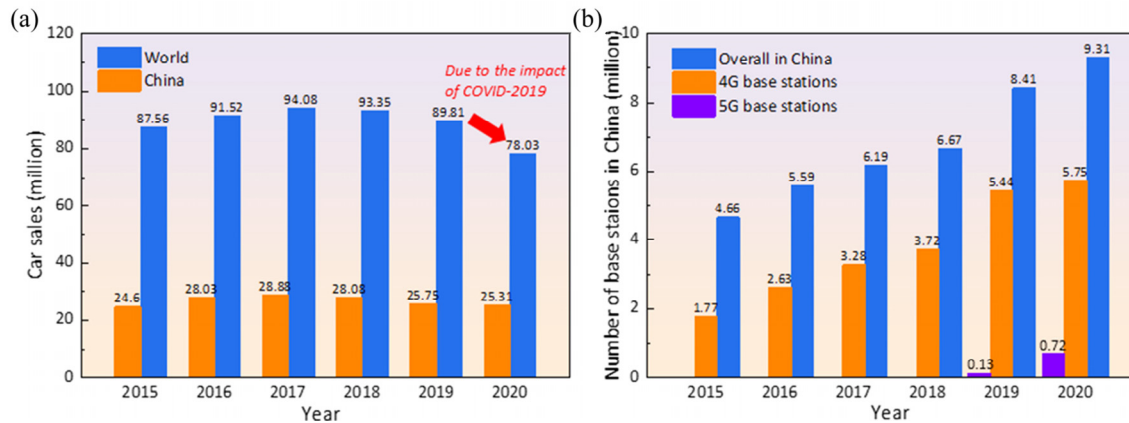


Fig. 14 Statistical data of number of annual car sales in China and world (a) [165] and built base stations over past six years (b) [166]

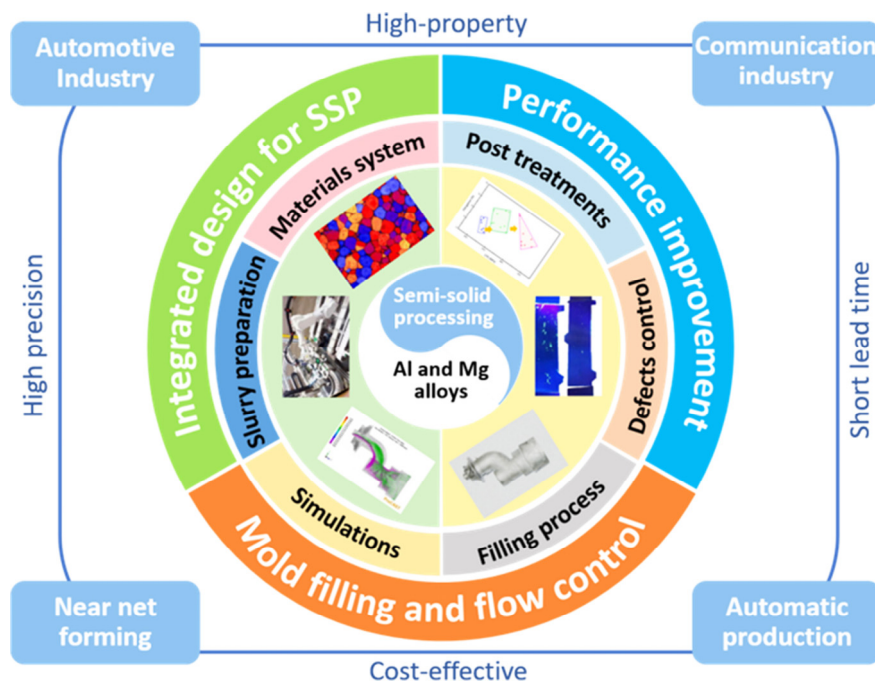


Fig. 15 Overview of SSP of Al and Mg alloys with regard to technique route, basic steps, advantages and application fields

preparation is lacking. The complete and in-depth understanding of the structure-property relationship is necessary to improve the performance of the products. Accordingly, besides the advances regarding the readily-available alloys, developing or tailoring more reliable alloys specifically for SSP that consider both the process needs and the target properties concurrently is significantly crucial [5,18]. For instance, various requirements are proposed for the automotive components, e.g., high strength, high fatigue resistance, creep resistance or wear resistance. Even though some materials have been successfully developed, different material systems are highly desired to fulfill more requirements for industrial applications [168,169].

(2) As aforementioned, only a small part of SSP methods can successfully achieve industrial production. Thus, it is of vital importance to optimize existing methods or develop novel methods that can have both improved production efficiency and good stability during slurry preparation and subsequent processing. Moreover, the performance of SSP fabricated parts is hard to reach the same level as the forged counterparts. This is also an important reason why SSP is hard to have a huge market share. Accordingly, it is also essential to further understand and control the defects formed in SSP fabricated parts, such as blowholes, blisters and hot cracks to improve the comprehensive performance [170–174]. In addition, SSP is now mainly adopted in the transportation and communication communities, so this competitive technology is expected to explore its applications in more fields, like the aerospace field and wearable devices.

(3) As the process of SSP is not visible, numerical simulation technology has become one of the main methods to visualize it, explore its specific mechanism, and optimize the structure design of apparatus and process parameters in the slurry preparation and forming process. The flow simulation of the mold filling process is one of the most interesting aspects. Although many models concerned with one-phase [15] or multiphase [155] and simulation methods have been proposed, these models and simulation methods are not universal, and only applicable to a certain alloy, product, and process. The reason is because of the multiple properties of semi-solid slurry. Its macroscopic flow

conforms to the power-law model of shear thinning, while the microscopic movement of its internal particles conforms to the multiphase flow theory. However, the current studies only focus on the macroscopic non-Newtonian flow behavior or the internal multiphase flow characteristics, and do not combine the two organically to study the constitutive flow behavior. The solution is to couple the microstructure characteristics with the macroscopic rheological properties and establish a rheological model of slurry based on its internal microstructure characteristics, which is not the one that only takes a slurry temperature or solid fraction as variables.

(4) Along with the development of the global economy, the market is extremely exacting on price and lead time, which requires the supply chain to foster and promote the core competence. With the rapid development of the artificial intelligence (AI), the highly integrated design and intelligent control of the SSP equipment are highly needed to ulteriorly improve the production efficiency and reduce the cost. For example, special dies and molds for SSP have been developed to improve the mechanical properties and reduce the cost [175–178]. It should also be noted that although SSP can provide considerable cost-saving, high performance, and high structural complexity of parts, there is a relatively long procedure to develop qualified parts and hence many technical problems will inevitably arise, as shown in Fig. 16. That requires concerted efforts and working hand in glove between demand-side and alloy/process developers to develop and produce new parts and promote the SSP to a commercially acceptable level. In addition, it is also essential for universities and institutions to provide talent support and knowledge contribution for SSP development by cultivating motivated and excellent students and highly skilled technicians.

(5) Although SSP has been developed for almost half a century, the systematic industry specification or standard for SSP is still scarce, which is not beneficial to the further promotion and development of SSP. Fortunately, some enterprises in China have developed their own internal standards, such as Dongfeng Motor, Beijing Foton Daimler Automotive and Shaanxi Heavy Duty Automobile Co., Ltd. In addition, a national specification on process called “Casting aluminum

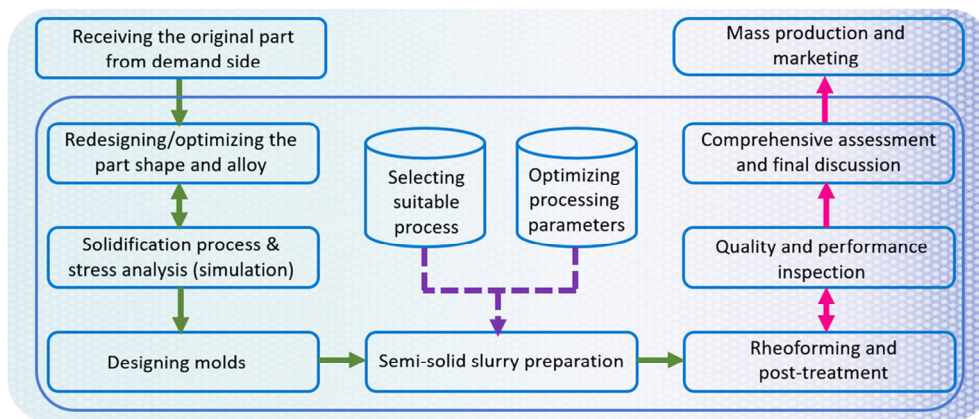


Fig. 16 Flow diagram of developing new parts suitable for SSP

alloys—Process specification for semisolid rheodiecasting forming (GB/T 40809—2021)” has been released and will be officially implemented in May 2022.

As a concluding remark, it has been proven that SSP is a powerful approach in the mass-production of high-performance components with some successful cases in China. Significantly, the SSP fabricated parts have demonstrated excellent properties that basically meet the demand of markets. Meanwhile, their fabrication is readily scalable and cost-effective, which provides a solid function for industrial production. Therefore, we propose that SSP will shed light on the landscape of batch fabrication for the next generation of advanced structural components and contribute to the realization of “Made in China 2025”.

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铝合金与镁合金半固态成形技术研究进展： 当前中国的发展现状、机遇与挑战

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摘 要: 铝合金和镁合金的半固态成形技术因其低成本、短流程、低能耗等优点被认为是一种加工性能优良的复杂零部件的先进成形方法。在过去的十年里, 对半固态成形技术的工艺、显微组织与成形件性能的理解已取得较大的进展。本文首先回顾常见的半固态浆料制备方法, 然后分析半固态成形铝合金与镁合金的性能和增强机制。随后, 对半固态浆料显微组织演化和流变行为的主流观点进行分析。此外, 还介绍半固态成形技术在我国的发展概况及近年来的部分应用实例。最后, 对我国铝合金和镁合金的半固态成形技术中亟待解决的关键问题和未来的发展前景进行讨论。

关键词: 半固态成形; 铝合金; 镁合金; 浆料制备; 数值模拟; 性能; 工业应用

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