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# Microstructure characterization of reinforcements in in situ synthesized composites of Al-Zr-O system<sup>©</sup>

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Abstract: A novel in situ reaction system AFZrO was developed. In situ  $Al_3Zr$  and  $Al_2O_3$  particulates reinforced aluminum matrix composites were fabricated by the direct melt reaction technique in the AFZrO system. Microstructures of the composites and crystal morphology of in situ formed  $Al_3Zr$  and  $Al_2O_3$  particulates were analyzed by scanning electron microscope (SEM) and transmission electron microscope (TEM). Results indicate that in situ formed  $Al_3Zr$  and  $Al_2O_3$  particulates are finer and well distributed in aluminum matrix.  $Al_3Zr$  particulates with a tetragonal structure are mainly in the shape of polyhedron. A few of them are in the form of rectangle. The length/width ratio of the rectangular  $Al_3Zr$  is less than 2.0 and the maximum size is  $2\mu$ m. In addition, a certain number of  $Al_2O_3$  submicro particles with a hexagonal structure are also generated in this system. Furthermore, it is found that  $Al_3Zr$  crystal grows by the mechanism of twinning. The twin plane is  $(1\bar{1}4)$ . The twinning direction is  $[2\bar{2}1]$ . The tensile tests show that the composites synthesized in the AFZrO system exhibits high strength and ductility. There are a lot of ripples with fine particles on the fracture. The principal strengthening mechanisms for  $(Al_3Zr + Al_2O_3)_p/Al$  composites may include Orowan strengthening, grain refining strengthening, solid solution strengthening and dislocation strengthening.

Key words: irr situ synthesis; composites; Al Zr O; reinforcements

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## 1 INTRODUCTION

The attractive physical and mechanical properties of aluminum matrix composites (AMCs), such as high specific modulus, strength, and thermal stability have been documented extensively [1-4]. In recent years, much attention has been paid to the development of an effective fabrication process for aluminum matrix composite. In the in-situ fabrication process, the spontaneous reaction between the reactants is utilized to synthesize reinforcements in the aluminum matrix. Thus it is expected that the in situ formed composites may reveal not only excellent dispersion of fine reinforcing particles, but also high strength and thermodynamic stability. Some of in-situ techniques include DIMOX<sup>TM</sup>, PRIMEX<sup>TM</sup>, VLS, LSM, and SHS<sup>[5, 6]</sup>. Especially, the direct melt reaction technique (DMR) is of simplicity, low cost and possibility of near net-shape forming and considered to be one of the most promising in situ synthesis techniques of commercial production<sup>[7]</sup>. Up to now, however, in-situ reaction systems are mainly concentrated on AlTiX, for example, AlTiO, AlTiB and Al Ti-C. In-situ formed reinforcements are only focused on a few particles such as  $Al_3Ti$ ,  $Al_2O_3$ ,  $TiB_2$ ,  $TiC^{[8]}$ .

The present work developed a novel in-situ reactive system AlZr-O, in which novel (Al<sub>3</sub>Zr + Al<sub>2</sub>O<sub>3</sub>)<sub>P</sub>/Al composites were fabricated by the direct melt reaction between zirconium oxychloride with molten aluminum. The dispersion behavior of particles formed by the in situ reaction process, the crystal morphology and growth mechanism of in situ formation of reinforcements in aluminum matrix were investigated, and the mechanical properties and strengthening mechanism of in-situ composites were discussed.

#### 2 EXPERIMENTAL

The raw materials were pure industrial aluminum ingot (99.85%) and zirconium oxychloride (ZrOCl<sub>2</sub> • 8H<sub>2</sub>O) powder (99.92%). Zirconium oxychloride was pre-heated at 250 °C for 3 h to dehydrate the bounded water in it. At the same time, 3 kg aluminum ingot was melted in RL100-60H graphite crucible in an electric furnace, and held at

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800 °C. Then 380 g dehydrated ZrOCl<sub>2</sub> powder was added to the molten aluminum and pushed down into the melt. Subsequently liquid aluminum reacted with ZrOCl<sub>2</sub> to produce Al<sub>3</sub>Zr and Al<sub>2</sub>O<sub>3</sub>. Finally, the composite melt was cast into permanent mould at 720 °C and the (Al<sub>3</sub>Zr+ Al<sub>2</sub>O<sub>3</sub>)  $_{\rm p}$ / Al composites were fabricated.

The as-cast composite ingots were sectioned into samples for SEM, XRD and TEM. The thin slices ( $d10~\text{mm}\times0.5~\text{mm})$  for transmission electron microscope (TEM) were mechanically ground on 1000 grit silicon carbide paper, polished to approximately 60  $\mu$ m in thickness, and subsequently thinned using argor ion beam at 5 kV, 4mA at angles  $30^{\circ}$  and  $10^{\circ}$ . The foils prepared were carefully examined using JEM-2000EX transmission electron microscope equipped with a double-tilt holder and operated at 120~kV.

#### 3 RESULTS AND DISCUSSION

#### 3.1 X-ray diffraction and microstructure

Fig. 1(a) shows the X-ray diffraction pattern of the composite synthesized by the direct melt reaction between zirconium oxychloride and molten aluminum. It is illustrated that the reinforced phases in the Al-Zr-O system are Al<sub>3</sub>Zr and  $\alpha$ Al<sub>2</sub>O<sub>3</sub>. The metallurgical reactions in the molten aluminum are as follows:

$$2ZrOCl2 = ZrCl4(g) + ZrO2(s)$$
 (1)

$$3ZrCl_4(g) + 4Al(l) = 3[Zr] + 4AlCl_3(g)$$
 (2)

$$3ZrO_2 + 4Al(1) = 3[Zr] + 2Al_2O_3$$
 (3)

$$[Zr] + 3Al(1) = Al3Zr$$
 (4)

Fig. 1(b) shows the SEM microstructure of  $(Al_3Zr + Al_2O_3)_p/Al$  composites. It is indicated that the in-situ synthesized  $Al_3Zr$  and  $Al_2O_3$  particles are well distributed in the aluminum matrix. The maximum size of those particles is  $2\mu m$ . The morphology of them is mainly polyhedron.

# 3. 2 Crystal morphology and growth

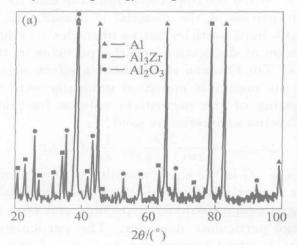


Fig. 2 shows the crystal morphology, TEM diffraction pattern and growth model of Al<sub>3</sub>Zr reinforcement. There are two shapes of Al<sub>3</sub>Zr crystal. One is polyhedral (Fig. 2(a)), the other is rectangular (Fig. 2(b)). The length/width ratio of the rectangle is in the range of 1.5 - 2.0. There is a faceted growing tendency on the surface of the polyhedral and a twin growing on the surface of the rectangular. The interface between Al<sub>3</sub>Zr particulates and Al matrix is smooth, clean and there is no reaction product. Moreover, the observations in many samples show that the dislocation density of aluminum matrix nearby the polyhedral is higher than that of the matrix nearby the rectangular. The TEM diffraction pattern of the twinning is shown in Fig. 2(c). According to the diffraction pattern, it is determined that the twin plane is (114), the growth direction of the twin is [221]. The twinning growth model of Al<sub>3</sub>Zr crystal is illustrated in Fig. 2(d).

What is the relation between the twin and the growing morphology of Al<sub>3</sub>Zr intermetallics? Based on experimental observation and crystal growth theory<sup>[9]</sup>, Al<sub>3</sub>Zr intermetallic compound grows in the form of facet. The atomic arrangement on the interface of liquid/solid is smooth. Thus single molecule is difficult to accumulate up on the smooth surface of Al<sub>3</sub>Zr crystal. However, the twin occurs because of the atomic dismatch. It results in a very pronounced reentrant edge or groove. The diffusing Al<sub>3</sub>Zr molecules from the liquid melt are easy to attach to the groove. It may be concluded that the twin plane reentrant edge (TPRE) [10] is important here for the growth kinetics of Al<sub>3</sub>Zr crystal. Although only one twin can be observed in Al<sub>3</sub>Zr crystal, it is fact that there are four closely packed planes in Al<sub>3</sub>Zr crystal, such as (114),  $(\bar{1}\bar{1}4)$ , (114) and (114), according to the analysis of Al<sub>3</sub>Zr crystal stereogram. The twinning phenomenon may take place on one or several

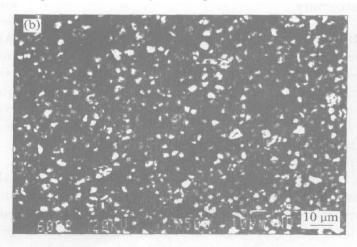


Fig. 1 XRD pattern(a) and SEM microstructure(b) of composite synthesized in Al-Zr-O system

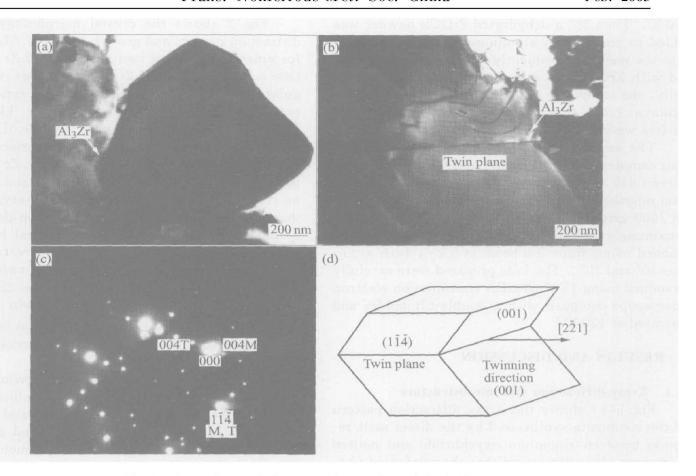


Fig. 2 Crystal morphologies and growth model of Al<sub>3</sub>Zr (a)—Polyhedral Al<sub>3</sub>Zr crystal; (b)—Rectangular Al<sub>3</sub>Zr crystal; (c)—Diffraction pattern of twin; (d)—Growing model of Al<sub>3</sub>Zr crystal

closely packed planes. So it can result in one twin or several twins. Under the observation of  $Al_3Zr$  morphology by SEM and TEM,  $Al_3Zr$  reinforcement grows in the shape of rectangle when only one twin is caused, whereas  $Al_3Zr$  reinforcement grows in the form of polyhedron when multiple twins are produced.

Fig. 3 shows the crystal morphology and TEM diffraction pattern of  $Al_2O_3$  particle. It shows that the crystal morphology of  $Al_2O_3$  particulate is approximately equiaxial, and  $Al_2O_3$  crystal is of hexagonal structure.

#### 3. 3 Mechanical properties of composite

The mechanical properties of the composite are shown in Fig. 4(a). The result indicates that the tensile strength of (Al<sub>3</sub>Zr+ Al<sub>2</sub>O<sub>3</sub>)<sub>p</sub>/Al composites is enhanced greatly with the increasing of volume fraction of particles. However, the elongation of the composite is decreased with the increasing of volume fraction of particles when the volume fraction of particles is larger than 4%. The fracture morphology is shown in Fig. 4(b). Many particles adhere to the matrix. It is indicated that the interfacial bonding strength is high. The fracture of this composite is the mixture of brittleness and toughness. The principal strengthening mechanisms for (Al<sub>3</sub>Zr+ Al<sub>2</sub>O<sub>3</sub>)<sub>p</sub>/Al composites may in-

clude Orowan strengthening, grain-refined strengthening, solid-solution strengthening and dislocation strengthening. Linear summation of such terms may be used to predict yield strength and the results are

$$Q_{composite} = \Delta Q_{rowan} + \Delta Q_{grain} + \Delta Q_{solution} + \Delta Q_{dislocation}$$

$$(5)$$

## 3. 3. 1 Orowan strengthening

Orowan strengthening results from interaction between the dislocation and the dispersed particles. When the composite bears the load the plastic deformation of the material is caused. Al<sub>3</sub>Zr and Al<sub>2</sub>O<sub>3</sub> hard particles act as obstacles to hinder the motion of dislocations nearby particles in the matrix. The Orowan strengthening effect of particles on the matrix is enhanced gradually with the increasing of the particulate volume fraction. The following expression is used<sup>[11]</sup>:

$$\Delta \Phi_{\text{rowan}} = \frac{2Gb}{2\pi (1 - V)^{1/2}} \frac{1}{\lambda} \ln(D/b)$$
 (6)

where G is the shear modulus of the matrix, b is the Burger's vector. V is the Poisson ratio.  $\lambda$  is the edge-to-edge particulate spacing, and D is the average particulate diameter. The particulate spacing,  $\lambda$  can be expressed in terms of the volume fraction ( $\Phi$ ) of dispersed particles and the average particulate diameter by [11]

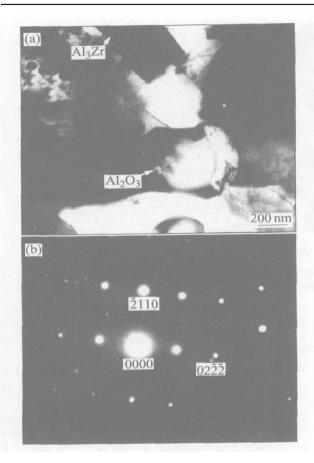


Fig. 3 TEM micrographs of in-situ Al<sub>2</sub>O<sub>3</sub> particulate
(a) -Equiaxial Al<sub>2</sub>O<sub>3</sub> particulate;
(b) -Diffraction pattern of [0112]

$$\lambda = D \left[ \frac{\pi}{6 \varphi} - \frac{2}{3} \right]^{1/2} \tag{7}$$

which can be calculated with the microstructural parameters obtained from the TEM results. As concerned as for 12% (Al<sub>3</sub>Zr+ Al<sub>2</sub>O<sub>3</sub>)<sub>p</sub>/Al composite, where  $G=26\,900\,\mathrm{MPa}$ ,  $b=2.8\times10^{-10}\,\mathrm{m}$ , V=0.34 and  $D=0.5\,\mathrm{\mu m}$ , the Orowan strengthening effect can be calculated by Eqns. (6) and (7) and the result is  $\Delta G_{\mathrm{Drowan}}=23.01\,\mathrm{MPa}$ .

#### 3. 3. 2 Grain-refined strengthening

The experimental observations indicate that Al<sub>3</sub>Zr reinforcing phase can reduce significantly the grain size of aluminum matrix with the increasing of the particulate volume fraction as shown in Fig. 5. According to the analysis of Al<sub>3</sub>Zr crystal structure, polyhedral Al<sub>3</sub>Zr particles act as the heterogeneous nucleation catalyst for aluminum. The grain-refined strengthening effect of Al<sub>3</sub>Zr particulate is improved by the increasing of the volume fraction of polyhedral Al<sub>3</sub>Zr particles via the Hall-Petch type of Eqn. (8)<sup>[12]</sup>:

$$Q_{\text{grain}} = Q_0 + kd^{-1/2}$$
 (8)  
where  $Q_{\text{grain}}$  is the yield strength contribution from grains.  $Q_0$  is the friction stress,  $d_0$  is the grain size

grains,  $\mathfrak{G}$  is the friction stress, d is the grain size and k is the material constant. Thus, the grain-reinforced strengthening effect of 12% (Al<sub>3</sub>Zr+Al<sub>2</sub>O<sub>3</sub>)<sub>P</sub>/Al composite can be obtained from

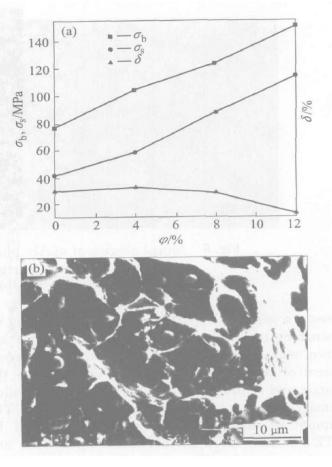


Fig. 4 Tensile property and fracture morphology of (Al<sub>3</sub>Zr+ Al<sub>2</sub>O<sub>3</sub>)<sub>p</sub>/Al composites at ambient temperature

(a) —Tensile property; (b) —Fracture morphology

Eqn. (9) and the value is 
$$\Delta Q_{\text{grain}} = 22.13 \text{ M Pa.}$$
  $\Delta Q_{\text{grain}} = k(d_1^{-1/2} - d_2^{-1/2}) \approx kd_1^{-1/2}$  (9) where  $k = 0.07 \text{ M Pa} \cdot \text{m}^{1/2}$  and  $d_1 = 10 \text{ } \mu\text{m}$ . 3.3.3 Solid-solution strengthening

When a foreign zirconium (Zr) atom dissolves in the matrix aluminum (Al), it may act as an atomic sized obstacle to the motion of dislocations. Because the volume of foreign Zr atom (0.023 272 nm³) is larger than that of Al atom (0.016 603 nm³), a misfit strain field will be produced around the Zr atom that may interact with the dislocation strain field. The analysis of the strain field dislocation interaction is given by [13]

$$\Delta G_{\rm solution} = G \mathcal{E} \sqrt{x_f/4}$$
 (10) where  $G$  is the elastic shear modulus,  $x_f$  is the mole fraction of the foreign atoms and  $\mathcal{E}$  is the fractional difference in zirconium and aluminum atom diameters. So the solid-solution strengthening effect of 12% (Al<sub>3</sub>Zr+ Al<sub>2</sub>O<sub>3</sub>)<sub>p</sub>/Al composite can be evaluated by Eqn. (10) and the result is  $\Delta G_{\rm solution} = 35.79$  MPa. Where  $G = 26.900$  MPa,  $\mathcal{E} = 0.119$ ,  $x_f = 0.05\%$ .

# 3. 3. 4 Dislocation strengthening

In many of metal matrix composites, dislocations are generated in the matrix upon cooling or quenching temperature from the processing or so-

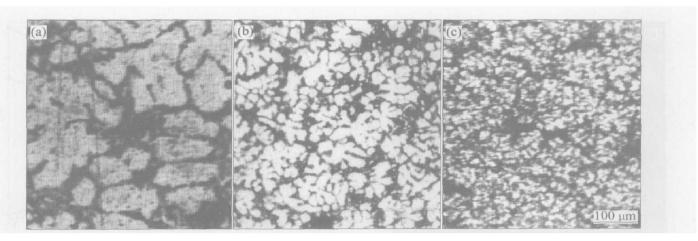


Fig. 5 Morphologies of α(Al) phase in (Al<sub>3</sub>Zr+ Al<sub>2</sub>O<sub>3</sub>)<sub>p</sub>/Al composite with different particulate volume fractions
(a) -\Phi=0; (b) -\Phi=8\%; (c) -\Phi=12\%

lution temperature, due to a mismatch of the coefficient of thermal expansion (CTE) between the matrix and reinforcements. The higher dislocation density increases the strength of the matrix. The amount of dislocation generation is affected by CTE, particle size, particle volume fraction, and matrix strength. The dislocation strengthening effect of 12% (Al<sub>3</sub>Zr+ Al<sub>2</sub>O<sub>3</sub>) $_{\rm p}$ / Al composite can be evaluated by  $^{\rm [14]}$ 

 $\Delta Q_{\rm dislocation} = A G b \int \Delta Q$  (11) where A is the total surface areas of particles, G is the shear modulus of the matrix, b is the Burger's vector, and  $\Delta Q$  is the increment of dislocation density in the matrix because of particles. Thus, the dislocation strengthening result of 12% (Al<sub>3</sub>Zr+ Al<sub>2</sub>O<sub>3</sub>)<sub>p</sub>/Al composite is  $\Delta Q_{\rm dislocation} = 32.07$  MPa. Where A = 0.83, G = 26900 MPa,  $b = 2.8 \times 10^{-10}$  m,  $\Delta Q = 2.52 \times 10^{13}$  m<sup>-2</sup>.

According to Eqn. (5), the total yield strength of 12% (Al<sub>3</sub>Zr+ Al<sub>2</sub>O<sub>3</sub>)  $_p$ /Al composite is calculated by  $\sigma_{composite} = \Delta \sigma_{orowan} + \Delta \sigma_{grain} + \Delta \sigma_{solution} + \Delta \sigma_{dislocation} = 113.0 MPa$ , whereas the real yield strength of this composite is measured to be 112.4 MPa by the tensile test. So the difference in yield strength of 12% (Al<sub>3</sub>Zr+ Al<sub>2</sub>O<sub>3</sub>)  $_p$ /Al composite between the elevated value and the tested value is very approximate.

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