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## Numerical simulation of facet dendrite growth

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Abstract: Numerical simulation based on phase field method was performed to describe the solidification of silicon. The effect of anisotropy, undercooling and coupling parameter on dendrite growth shape was investigated. It is indicated that the entire facet dendrite shapes are obtained by using regularized phase field model. Steady state tip velocity of dendrite drives to a fixed value when  $\gamma = 0.13$ . With further increasing the anisotropy value, steady state tip velocity decreases and the size is smaller. With the increase in the undercooling and coupling parameter, crystal grows from facet to facet dendrite. In addition, with increasing coupling parameter, the facet part of facet dendrite decreases gradually, which is in good agreement with Wulff theory.

Key words: phase field method; facet dendrite; anisotropy; undercooling; tip velocity

## **1** Introduction

Phase field method has been used to simulate the complicated pattern formation during crystal growth, and different dendrite patterns are obtained successfully [1-4]. The main problem in modeling crystal growth is how to solve moving boundary problem, which requires a specific treatment at the discontinuous solid/liquid interface. The merits of phase field method are that interface curvature, anisotropy and kinetics effects are implicitly incorporated in the phase field equation, and that the explicit tracking of the interface is unnecessary[5-6]. In the early application many problems occur, and the significant problem is that interface thickness must be much smaller than that of thermal boundary to meet with sharp interface limit, which makes it difficult to simulate dendrite shape. The interface kinetics coefficient in Karma and Rappel model can be eliminated by selecting and using appropriate parameters, and interface thickness can be released to an order of microstructures, then phase field method makes great progress [5,7–9]. The equilibrium condition at the interface is given by the Gibbs-Thomson equation:

$$(W+W_{\varphi\varphi})/R(\varphi) = f_{\rm L} - f_{\rm S} = 1 - 15\gamma \cos(4\varphi) \tag{1}$$

where *W* is solid/liquid interface energy,  $\gamma$  is anisotropy parameter,  $\varphi$  is the angle of the direction normal to the interface and the horizontal axis,  $R(\varphi)$  is the curvature radius of interface,  $f_S$  and  $f_L$  are free energy densities of the solid and liquid phases, respectively. When  $\gamma < 1/15$ , namely, weak anisotropy, both sides of the equation are always positive and a smooth and convex non-faceted crystal is stable. Conversely, when  $\gamma > 1/15$ , namely, strong anisotropy, the left hand side of the equation appears negative within the interface missing orientations, as a result, it is difficult to model dendrite growth. Since 2001, the problem has been solved through regularizing phase field model[10–14].

In this work, a new regularizing phase field model is presented based on EGGLESTON and KIM's model. The entire dendrite growth shape of silicon is calculated, and the effect of anisotropy parameter, undercooling and coupling parameter is examined in detail.

## 2 Phase field model

These Wulff equilibrium crystal shapes[15–16] at various anisotropy values are given in Fig.1. When  $\gamma < 1/15$ , crystal shape is smooth and continuous; on the contrary, when  $\gamma > 1/15$ , it occurs in discontinuous concave similar to "ears", and these "ear" parts increase

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Fig.1 Equilibrium crystal shapes at various anisotropy values: (a)  $\gamma$ =0.05; (b)  $\gamma$ =0.15; (c)  $\gamma$ =0.35

with increasing anisotropy value. In order to simulate dendrite growth in strong anisotropy, these "ears" must be removed. The interface energy within the missing orientations has been regularized by EGGLESTON and KIM, as follows:

$$\hat{W}(\varphi) = \begin{cases} W(\varphi), (\frac{\pi}{2}i + \varphi_{\rm m}) & |\varphi| & \frac{\pi}{2}(i+1) - \varphi_{\rm m} \\ \frac{W(\varphi_{\rm m})\cos\varphi}{\cos\varphi_{\rm m}}, (\frac{\pi}{2}i - \varphi_{\rm m}) < \varphi < (\frac{\pi}{2}i + \varphi_{\rm m}) \end{cases}$$
(2)

where i=0-3,  $\varphi_m$  is the corner angle of the equilibrium shape or first missing orientation, and it is calculated through

$$W(\varphi_{\rm m})\sin\varphi_{\rm m} + W_{\varphi}(\varphi_{\rm m})\cos\varphi_{\rm m} = 0$$
(3)

A new regularized phase field equations[17] can be obtained by introducing Eqn.(2) to phase field equation:

For 
$$(\frac{\pi}{2}i + \varphi_{\rm m}) \quad \varphi \quad (\frac{\pi}{2}(i+1) - \varphi_{\rm m}) \text{ and } i=0-3$$
  

$$\tau(\boldsymbol{n})\frac{\partial \phi}{\partial t} = \quad \cdot (W(\boldsymbol{n})^2 \quad \phi) - \partial_x [W(\boldsymbol{n})W_{\varphi}(\boldsymbol{n})\partial_y \phi] + \\ \quad \partial_y [W(\boldsymbol{n})W_{\varphi}(\boldsymbol{n})\partial_x \phi] + \phi - \phi^3 - \lambda \theta (1 - \phi^2)^2 (4)$$
For  $(\frac{\pi}{2}i - \varphi_{\rm m}) < \varphi < (\frac{\pi}{2}i + \varphi_{\rm m}) \text{ and } i=0, 2$ 

$$\tau(\varphi_{\rm m}) \left( \frac{\cos \varphi}{\cos \varphi_{\rm m}} \right) \frac{\partial \phi}{\partial t} = \tau_0 \left( \frac{\mathcal{W}(\varphi_{\rm m})}{\cos \varphi_{\rm m}} \right)^2 \phi_{xx} + \phi - \phi^3 - \lambda \theta (1 - \phi^2)^2$$
(5)

For 
$$\left(\frac{\pi}{2}i - \varphi_{\mathrm{m}}\right) \le \varphi \le \left(\frac{\pi}{2}i + \varphi_{\mathrm{m}}\right)$$
 and  $i=1, 3$ 

$$\tau(\varphi_{\rm m}) \left(\frac{\sin\varphi}{\cos\varphi_{\rm m}}\right)^2 \frac{\partial\phi}{\partial t} = \tau_0 \left(\frac{W(\varphi_{\rm m})}{\cos\varphi_{\rm m}}\right)^2 \phi_{yy} + \phi - \phi^3 - \lambda\theta (1 - \phi^2)^2$$
(6)

where  $\tau(\theta) = \tau_0 a_s(\theta)$  is the orientation-dependent relaxation time of phase field;  $a_s(\mathbf{n}) = 1 + \gamma \cos(4\varphi)$  is an anisotropic interface energy function;  $\phi$  represents the phase field parameter, where  $\phi = 1$  in the bulk solid phase,  $\phi = -1$  in the bulk liquid phase, and the phase field varies smoothly between these two values within the diffusion interface region;  $\mathbf{n} = -\phi/|\phi|$ , is the unit vector normal to the interface;  $\lambda = \alpha/a_2$  is a coupling parameter between phase field and thermal field; and *t* is time.

Dimensionless thermal field equation[5] is

$$\frac{\partial \theta}{\partial t} = \alpha \quad {}^{2}\theta + \frac{1}{2}\frac{\partial \phi}{\partial t} \tag{7}$$

where  $\alpha$  is thermal diffusion parameter.

The dimensionless thermal variable is given by

$$\theta = \frac{T - T_{\rm m}}{L/c_p} \tag{8}$$

where  $T_{\rm m}$  is the melting point, L and  $c_p$  are the latent and specific heat, respectively.

Using asymptotic expansion, the capillary length  $d_0$  and kinetic coefficient expression  $\beta$  are related to the phase field parameters[7], that is,

$$d_0 = a_1 W_0 / \lambda \tag{9}$$

$$\beta = a_1 \frac{\tau(\mathbf{n})}{\lambda W} [1 - a_2 \frac{\lambda W^2}{\tau(\mathbf{n})\alpha}]$$
(10)

where  $a_1$  and  $a_2$  both are constants, which depend on the double-well potential and other function choice of phase field equation. For the present choices, they are the same as those in Ref.[5],  $a_1=0.883$  9 and  $a_2=0.626$  7.

## **3** Numerical simulation

# **3.1 Initial conditions and boundary conditions**

Initial crystal radius is assumed to be *r*,

$$\begin{cases} x^{2} + y^{2} & r^{2}, \phi = 1, \theta = 0 \\ x^{2} + y^{2} > r^{2}, \phi = -1, \theta = \varDelta \end{cases}$$
(11)

where (100) is x direction and (010) is y direction[18]. Zero-Neumann boundary conditions are used:

$$\frac{\partial \phi}{\partial n} = 0 ; \quad \frac{\partial \theta}{\partial n} = 0$$

#### 3.2 Simulation method

For the numerical calculation, Eqns.(4)–(7) are discretized on the uniform grids by using explicit finite difference methods; time stepping is discretized by explicit Euler scheme, and  $^{2}\phi$  is discretized by a nine-point formula with nearest and next nearest neighbors, which reduces the grid anisotropy[5]. The grid area is 500 × 500. For convenience, the following parameters are chosen: time step  $\Delta t$ =0.008, space step  $\Delta x$ = $\Delta y$ =0.4,  $\tau_0$ =1,  $d_0$ =0.139,  $W_0$ =1,  $\alpha$ =4,  $\Delta$ =-0.45,  $\lambda$ =6.383. Unless otherwise state, these phase field parameters are not varied.

### 4 Results and discussion

#### 4.1 Dendrite growth shape of silicon

In this work, silicon was selected as model material. Table 1 lists the physical properties of silicon[11]. Fig.2 shows the silicon dendrite growth shape without noise at  $t=25\ 000\ \Delta t$ . Notice that crystal grows to facet dendrite, and this is similar to that shown in Ref.[11]. By comparing Fig.2(a) with Fig.2(c), these thermal and

iste i nystear properties er sinteen	
Property	Value
Liquid thermal diffusivity, $\alpha/(m^2 \cdot s^{-1})$	$2.8 \times 10^{-5}$
Anisotropy, $\gamma$	0.15
Melting temperature of pure melt, $T_{\rm m}/{\rm K}$	1 685
Latent heat of fusion, $L/(J \cdot m^{-3})$	$4.15 \times 10^{9}$
Interface energy, $\sigma/(J \cdot m^{-2})$	0.438

phase field shapes are very close, and they both can reflect characteristics of dendrite.

It is important to study the effect of secondary dendrite on material properties. In order to obtain facet dendrite with secondary dendrite, random noise is added to phase field equation during the simulation.

Dendrite shape with noise at  $t=25\ 000\ \Delta t$  is shown in Fig.3. By comparing Fig.3 with Fig.2, facet dendrite shape with noise is similar to that without noise, but noise promotes the growth of side dendrite branches.

#### 4.2 Effect of anisotropy parameter

Anisotropy should be the physical value of material, but anisotropy in the range of 0.07–0.35 was studied to obtain some law in phase field simulation. The interface shapes of dendrite growth for various  $\gamma$  at  $\Delta$ =–0.25, t= 20 000  $\Delta t$  are given in Fig.4. It is noticed that crystal grows to facet and forms are between two arms when  $\gamma$  is 0.07. When increasing the anisotropy value to 0.13, sizes



Fig.2 Dendritic growth shape of silicon without noise: (a) Phase field; (b) Interface evolution of phase field; (c) Thermal field



Fig.3 Dendritic growth shape of silicon with noise: (a) Phase field; (b) Interface evolution of phase field



of facet are very close, and the only noticeable discrepancy between arms turn into cusps. With further increasing the anisotropy value, facet grows smaller and the part of cusp becomes plane. The steady state tip velocity as the function of anisotropy is summarized in Fig.5. When  $\gamma = 0.13$ , the steady state velocity is a fixed value. With increasing the anisotropy value, the steady state velocity decreases gradually when  $\gamma = 0.25$ , and the decreasing of the steady state velocity becomes slow. The reason is that the range of missing orientation and the limit of initial crystal radius are increased[14], and the relation between the steady state velocity and anisotropy value meets with the following equation:

 $V_{\text{Steady}} d_0 / \alpha = -15.1365 \gamma^5 + 15.2672 \gamma^4 - 5.60594 \gamma^3 + 0.907263 \gamma^2 - 0.0660244 \gamma + 0.00696047 (12)$ 

#### 4.3 Effect of undercooling

Fig.6 show the evolution of phase field for various



Fig.5 Steady state tip velocity vs anisotropy



**Fig.6** Evolution of phase field for various undercooling at t= 10 000  $\Delta t$ : (a)  $\Delta = -0.05$ ; (b)  $\Delta = -0.25$ ; (c)  $\Delta = -0.45$ ; (d)  $\Delta = -0.55$ 

undercooling at every 2500 time steps,  $t=10\ 000\ \Delta t$ . It is seen that crystal grows from facet to facet dendrite, and dendrite shape becomes large gradually with time prolonging. A further analysis can be shown in Fig.7. When  $\Delta = -0.05$ , dendrite tip velocity tends to zero. With increasing undercooling, dendrite tip velocity increases.

#### 4.4 Effect of coupling parameter

Coupling parameter is an important parameter between phase field and thermal field, which is known to play an important role in dendrite growth interface.

Fig.8 shows the isotherms around dendrite phase field shape at various coupling parameters,  $t=18\ 000\ \Delta t$ . It is noticed that crystal grows from facet to facet dendrite; isotherms around dendrite change from circle to



Fig.7 Relation of dendritic tip velocity vs time at various undercooling



**Fig.8** Isotherms around dendritic phase field shape at various coupling parameter (*t*=18 000  $\Delta t$ ): (a)  $\lambda$ =1.596; (b)  $\lambda$ =3.192; (c)  $\lambda$ =4.787; (d)  $\lambda$ =6.383; (e)  $\lambda$ =7.979; (f)  $\lambda$ =9.575

concave and size of corresponding faceted part decreases gradually with increasing coupling parameter, as shown in Figs.8(a) and (f), which agrees with Wulff theory.

The dendrite tip velocities at various coupling parameters are shown in Fig.9. Dendrite tip velocity falls



Fig.9 Calculated dendritic tip velocity at various coupling parameter

to a steady state value after an initial fast growth. With increasing coupling parameter, dendrite tip velocity increases monotonically. When  $\lambda$  7.979, dendrite tip velocity occurs an increased trend and the interface becomes unstable.

## **5** Conclusions

1) The entire facet dendrite shape of silicon is successfully obtained by a new regularization phase field model, and noise can promote side branch growth of dendrite.

2) When  $\gamma$  0.13, the steady state tip velocity of dendrite is a fixed value and shapes are very similar. With further increasing anisotropy, facet grows smaller and cusp becomes plane.

3) With increasing the undercooling and coupling parameter, crystal grows from facet to facet dendrite.

4) The size of the facet part decreases gradually with increasing coupling parameter, which is in agreement with Wulff theory.

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