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# Phase-field modeling of dendritic growth under forced flow based on adaptive finite element method

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Abstract: A mathematical model combined projection algorithm with phase-field method was applied. The adaptive finite element method was adopted to solve the model based on the non-uniform grid, and the behavior of dendritic growth was simulated from undercooled nickel melt under the forced flow. The simulation results show that the asymmetry behavior of the dendritic growth is caused by the forced flow. When the flow velocity is less than the critical value, the asymmetry of dendrite is little influenced by the forced flow. Once the flow velocity reaches or exceeds the critical value, the controlling factor of dendrite growth gradually changes from thermal diffusion to convection. With the increase of the flow velocity, the deflection angle towards upstream direction of the primary dendrite size, the vortex is present in the downstream regions, and the vortex region is gradually enlarged. Dendrite tips appear to remelt. In addition, the adaptive finite element method can reduce CPU running time by one order of magnitude compared with uniform grid method, and the speed-up ratio is proportional to the size of computational domain.

Key words: dendritic growth; phase-field model; forced flow; adaptive finite element method

## **1** Introduction

Dendrite is a form of most casts during solidification. The dendrite growth is a complex physical process influenced by the transfer of heat, mass and momentum. The transfer of heat and mass in the process of solidification not only depends on the diffusion, but also closely relates to the flow of liquid metal. The macro-segregation, solidification shrinkage cavity and cast defects are influenced dramatically by the melt flow, and those factors restrain the performances of metal materials.

In recent years, on the basis of pure diffusion phasefield model, the numerical simulation has provided a very convenient and effective method for the study of microstructures evolution [1–5]. TONG et al [6] and BECKERMANN and SUN [7] used phase-field method combined with SIMPLE algorithm to study the relationship between dendritic tip morphology of pure material and liquid fluid velocity. Finite difference method and SIMPLE algorithm were adopted to solve phase-field and flow field, respectively. The effects of flow velocity on the unsymmetrical dendritic growth and temperature distribution were the investigated numerically based on the phase-field model [8]. CHEN and CHEN [9] reported the phase-field model coupled with the flow field to simulate two-dimensional dendrite growth of metal by using finite difference method, and the effect of flow velocity on metal dendritic crystal growth was investigated. Based on the Wheeler model, a phase-field model was proposed by coupling with concentration field, temperature field and flow field. An explicit finite difference numerical method was used to solve the phase-field model [10,11].

However, the adaptive finite element method based on non-uniform grid is more efficient and less amount of calculation than the method based on uniform grid

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[12,13]. When the mass and momentum conservation equations are calculated, projection method has the high efficiency through prediction and correction step to get the speed and pressure at the new time [14].

Based on the Wheeler diffusion model, a phase-field model incorporated both flow and thermal noise was proposed in this study, and the effect of gravity and dynamics was neglected. The adaptive finite element algorithm [15,16], the functional library AFEPack [17] and the projection algorithm [18] were employed to solve the phase-field model, momentum and mass conservation equations. The dendritic growth of nickel was simulated quantitatively, and the effects of flow velocity on the dendrite morphology and tip operating state were investigated. In addition, the influence of the dendrite growth on the fluid flow was studied.

#### **2** Simulation model

#### 2.1 Dimensionless 2D phase-field model equations

Phase-field model represents the system's physical state of each point by introducing a continuous order parameter  $\varphi$ . In this study,  $\varphi=0$  represents the solid condition,  $\varphi=1$  represents the liquid condition, and  $0 < \varphi < 1$  represents solid–liquid interface. The phase-field model equation is defined by [19]

$$\frac{\varepsilon^{2}}{m} \frac{\partial \varphi}{\partial t} = \varphi(1-\varphi)[\varphi-1/2 + 30\varepsilon\alpha Su\varphi(1-\varphi)] + \varepsilon^{2}\nabla \cdot (\eta(\theta)^{2}\nabla\varphi) - \varepsilon^{2}\frac{\partial}{\partial x}(\eta(\theta)\eta'(\theta)\frac{\partial\varphi}{\partial y} + \varepsilon^{2}\frac{\partial}{\partial y}(\eta(\theta)\eta'(\theta)\frac{\partial\varphi}{\partial x})$$
(1)

The form of thermal noise in Eq. (1) is given by [20]

$$\frac{\varepsilon^2}{m}\frac{\partial\varphi}{\partial t} \to \frac{\varepsilon^2}{m}\frac{\partial\varphi}{\partial t} + 16\varphi^2(1-\varphi)^2 Rf \times 30\varepsilon\alpha Su\varphi^2(1-\varphi)^2$$
(2)

where  $\varepsilon$  stands for the thickness of interfacial layer, and  $\alpha$ is defined as  $\alpha = \sqrt{2lL_{\rm m}^2}/(12c_p\sigma T_{\rm m})$ . The dimensionless temperature *u* is given by  $u = (T - T_m)/(T_m - T_0)$ ,  $T_m$  is the melting temperature, and  $T_0$  is the initial temperature of system. Dimensionless supercooling degree S is defined as  $S = c_p (T_m - T_0) / L_m$ ,  $c_p$  is the heat capacity at constant pressure, and  $L_{\rm m}$  is the latent heat, interfacial migration coefficient m is defined by  $m = \mu \sigma T_{\rm m} / (\kappa L_{\rm m})$ ,  $\mu$ denotes the interface migration rate,  $\sigma$  represents the interface energy, and  $\kappa$  stands for the thermal diffusivity. The anisotropic factor is defined by  $\eta(\theta) =$  $1 + \gamma \cos[M(\theta)]$ ,  $\gamma$  represents the anisotropy strength, M denotes the mode of anisotropic, and  $\theta$  reflects the angle between the preferred orientation of solidification and the positive direction of x axis. Moreover,  $\varphi_x = \partial \varphi / \partial x$ and  $\varphi_y = \partial \varphi / \partial y$ . Applying *l* as the length scale and  $\tau = l^2 / \kappa$  as the time scale, all dimensional variables are cast into their dimensionless forms.  $R \in \{-1, +1\}$  is the random number, and *f* is the thermal noise intensity.

#### 2.2 Thermal field equation coupled with flow field

The thermal field equation takes the following form [21]:

$$\frac{\partial u}{\partial t} + F(\varphi)v \cdot \nabla u + \frac{p'(\varphi)}{S}\frac{\partial \varphi}{\partial t} = \nabla^2 u \tag{3}$$

where *v* stands for the dimensionless flow velocity  $v = Ud_0/\kappa$ , *U* stands for the initial flow velocity, and interpolation function  $F(\varphi)$  is given by

$$F(\varphi) = \begin{cases} 0, \ \varphi < 0.7\\ \varphi, \ \varphi \ge 0.7 \end{cases}$$
(4)

In Eq. (3),  $p'(\varphi)$  is defined as the derivative of potential function  $p(\varphi) = \varphi^3 (6\varphi^2 - 15\varphi + 10)$  for  $\varphi$  [19].

#### 2.3 Conservation equations of mass and momentum

The conservation equations for mass and momentum take the following forms [22]:

$$F(\varphi)\nabla \cdot v = 0 \tag{5}$$

$$F(\varphi)\frac{\partial v}{\partial t} + F(\varphi)(v \cdot \nabla)v = \frac{1}{Re}\nabla^2 v - F(\varphi)\nabla p \tag{6}$$

where p is the dimensionless pressure, and Re denotes Reynolds number.

# 3 Numerical simulation parameters and methods

#### 3.1 Initial condition and boundary condition

An initial nucleus of the radius  $r_0$  can be defined as

$$\begin{cases} \varphi = 0, \ u = 0, \ v_x = 0, \ v_y = 0, \ p = 0, \ x^2 + y^2 \le r_0^2 \\ \varphi = 1, \ u = -1, \ v_x = 0, \ v_y = v_0, \ p = 0, \ x^2 + y^2 > r_0^2 \end{cases}$$
(7)

where x and y are the coordinate axes,  $v_x$  and  $v_y$  are the convection velocities along axes x and y, respectively. The supercooled melt enters the domain from the top boundary with a uniform velocity  $v_0$  and exits at the bottom boundary. The Zero-Neumann boundary conditions are imposed at the boundaries of the computational domain for phase field and thermal field, while the mass and momentum equations employed no-slip boundary conditions. The initial condition of simulation domain is shown in Fig. 1. The simulation domain size dimensions  $4.0l \times 4.0l$  ( $l=1.33 \times 10^4 d_0$ ), where  $d_0$  is the capillary length.

The material parameters of phase-field model are shown in Table 1.

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Fig. 1 Initial condition of simulation domain

Table 1 Physical parameters of pure Ni

		T	
Parameter	Value	Parameter	Value
$T_{\rm m}/{ m K}$	1728	S	0.65
$L_{\rm m}/({\rm J}\cdot{\rm cm}^{-3})$	2350	$\theta/(^{\circ})$	0
$c_p/(J\cdot K^{-1}\cdot cm^{-3})$	5.42	ε	0.002
$\kappa/(\mathrm{cm}^2\cdot\mathrm{s}^{-1})$	0.155	М	4
$\mu/(\mathrm{cm}\cdot\mathrm{K}^{-1}\cdot\mathrm{s}^{-1})$	285	$\Delta x = \Delta y$	0.04
$\sigma/(J \cdot cm^{-2})$	$3.7 \times 10^{-5}$	γ	0.05
<i>l</i> /cm	$21 \times 10^{-4}$	$\Delta t$	$6.0 \times 10^{-6}$

#### 3.2 Numerical simulation methods

3.2.1 Adaptive finite element method

According to the adaptive finite element method, the partial differential Eqs. (1) and (3) should be transformed into the corresponding week forms. The trial functions  $v_1(x, y)$  and z(x, y) are introduced. The time domain is dispersed by using the time interval  $\Delta t$ . According to Green's theorem, the weak forms of Eqs. (1) and (3) are defined by

$$\int_{\Omega} \frac{u^{t+\Delta t}}{\Delta t} v_{1} d\Omega + \int_{\Omega} \nabla u \cdot \nabla v_{1} d\Omega = \int_{\Omega} \frac{u^{t}}{\Delta t} v_{1} d\Omega - \int_{\Omega} \frac{p'(\varphi)}{S} \frac{\partial \varphi}{\partial t} v_{1} d\Omega - \int_{\Omega} F(\varphi) v \cdot \nabla u v_{1} d\Omega + \int_{\Gamma} \frac{\partial u}{\partial n} v_{1} ds$$
(8)

$$\int_{\Omega} \frac{\varepsilon^{2}}{m} \frac{\varphi^{t+\Delta t}}{\Delta t} z d\Omega + \int_{\Omega} \varepsilon^{2} \eta(\theta)^{2} \nabla z \cdot \nabla \varphi d\Omega - \\\int_{\Omega} \varepsilon^{2} \eta(\theta) \eta'(\theta) \frac{\partial z}{\partial x} \frac{\partial \varphi^{t+\Delta t}}{\partial y} d\Omega + \\\int_{\Omega} \varepsilon^{2} \eta(\theta) \eta'(\theta) \frac{\partial z}{\partial y} \frac{\partial \varphi^{t+\Delta t}}{\partial x} d\Omega = \\\int_{\Omega} \frac{\varepsilon^{2}}{m} \frac{\varphi^{t}}{\Delta t} z d\Omega + \int_{\Gamma} \varphi^{t} (1-\varphi^{t}) [\varphi^{t} - \frac{1}{2} + \\30 \varepsilon \alpha S u \varphi^{t} (1-\varphi^{t})] z d\Omega + \int_{\Gamma} \varepsilon^{2} \eta(\theta)^{2} \frac{\partial \varphi^{t}}{\partial n} z ds$$
(9)

3.2.2 Efficiency of adaptive finite element method

The results of solving phase field model based on adaptive finite element method are shown in Fig. 2, where the domain dimensions are  $3.0l \times 3.0l$ . Figure 2(a) shows the initial mesh and Fig. 2(b) shows the dendrite morphology at  $t=6900\Delta t$ . Figure 2(c) shows the amplified result of box in Fig. 2(b). It can be found that, the grids are refined in region A close to the solid-liquid interface, and the grids are coarsened in regions B, C and D far away from the interface. The number of the nodes is just  $2.79 \times 10^5$  by using adaptive finite element method, which is less than  $1.6 \times 10^6$  by using the uniform grid method at the termination of the calculation [12]. So, the adaptive finite element method reduces the computational cost and improves the computational efficiency dramatically.

The relationship among the CPU running time, speed-up ratio and computational domain size is shown in Table 2, where  $t_a$  represents the CPU running time of adaptive finite element method,  $t_u$  stands for the CPU running time of uniform grid method, and  $L_B$  denotes the computational domain size of the system. The functional relationship between the CPU running time and the computational domain size is given by

$$t_{\rm a} = 1.68 \, L_B^2 \tag{10}$$

$$t_{\rm u} = 1.54 \ L_B^3 \tag{11}$$

The functional relationship between the speed-up ratio and the computational domain size is defined by



Fig. 2 Graphs of grid subdivision: (a) Initial mesh; (b) Dendrite morphology; (c) Amplified result

 Table 2 Relationship among CPU running time, speed-up ratio

 and domain size of system

	2			
$L_B$	t <sub>a</sub> /h	$T_{\rm u}/{\rm h}$	$t_{\rm u}/t_{\rm a}$	$(t_{\rm u}/t_{\rm a})/L_B$
2.5	9.83	22.83	2.32	0.93
3.0	15.8	47.0	2.97	0.99
3.5	20.5	63.3	3.07	0.88
4.0	28.6	107.6	3.76	0.94
5.0	40.8	179.8	4.41	0.88
6.0	59.7	318.1	5.33	0.89

$$t_{\rm u}/t_{\rm a} = 0.92L_B \tag{12}$$

Time complexities of adaptive finite element method and uniform grid method are  $O_a(L_B^2)$  and  $O_u(L_B^3)$ , respectively. What's more, the speed-up ratio  $t_u/t_a$  is proportional to the domain size. By using the non-uniform grid adaptive finite element method, the greater the computational domain size is, the higher computational efficiency is.

### 3.2.3 Projection algorithm

The mass and momentum equations were solved numerically by using the fractional-step projection algorithm [18]. As the fractional-step projection algorithm can get higher computational efficiency in solving incompressible Navier–Stokes equations, the algorithm has been more and more widely used [23].

To guarantee unconditional stability and avoid any restriction on the time step, the advection term  $(v \cdot \nabla)v$  in Eq. (6) is replaced by its skew-symmetric form  $(v \cdot \nabla)v + 1/2(\nabla \cdot v)v$ , and the advection-diffusion step is given by [18,21]

$$\begin{cases} F(\varphi) \frac{\partial \overline{v}^{k+1} - v^k}{\partial t} + F(\varphi) [(v^k \cdot \nabla) \overline{v}^{k+1} + 1/2 (\nabla \cdot v^k) \overline{v}^{k+1}] = \frac{1}{Re} \nabla^2 v^{k+1} - F(\varphi) \nabla p^k \quad (13) \\ \overline{v}^{k+1} \Big|_{\partial \Omega} = 0 \end{cases}$$

The projection step is taken by the following incremental form:

$$\begin{cases} F(\phi) \frac{v^{k+1} - \overline{v}^{k+1}}{\partial t} = \nabla(p^{k+1} - p^k) \\ F(\phi) \nabla \cdot v^{k+1} = 0 \end{cases}$$
(14)

The Poisson equation for the pressure increment is given by

$$\begin{cases} \nabla^2 (p^{k+1} - p^k) = -F(\varphi)(\Delta t)^{-1} \nabla \cdot \overline{\nu}^{k+1} \\ \frac{\partial (p^{k+1} - p^k)}{\partial n} \bigg|_{\partial \Omega} = 0 \end{cases}$$
(15)

where  $\overline{\nu}^{k+1}$  stands for the intermediate velocity, and  $\nu^{k+1}$  denotes divergence-free velocity field. The velocity

field and pressure field are updated by

$$\nu^{k+1} = \overline{\nu}^{k+1} - F(\varphi)\Delta t \nabla (p^{k+1} - p^k)$$
(16)

$$p^{k+1} = p^k + \Delta p_i \tag{17}$$

### 4 Results and discussion

#### 4.1 Dendrite morphologies at different flow velocities

Figure 3 shows the dendrite morphologies and thermal distribution at  $t=6500\Delta t$ , where the thermal noise intensity f=2.0. It can be seen from Fig. 3 that, the dendrite grows symmetrically without forced flow (Fig. 3(a)), and the thermal diffusion layer distributes symmetrically as well (Fig. 3(c)). Figures 3(b) and (b) show the dendritic morphology and the thermal diffusion layer distribution with flow velocity of v=0.0026. Dendrite shapes show asymmetry, and the growth rates of dendrites are different in preferentially growing directions. The main dendrite arm in the upstream region is longer and wider compared with the main dendrite arm in the downstream. Due to the erosion of convection effect on upstream dendrite, it reduces the thickness of thermal diffusion layer and leads to high temperature gradient, and the release of latent heat is propitious. The actual undercooling is increscent and the dendrite grows up quickly. Therefore, the development of primary dendrite and secondary dendrite arms is promoted.

In downstream region, the diffusion layer of the dendrite tip is thinner and the temperature gradient is higher without forced flow, as shown in Fig. 3(c). In the case of forced flow, the thermal diffusion layer of the dendrite tip is thicker than the former situation, as shown in Fig. 3(d). In addition, the temperature gradient and the actual undercooling are low, thus the dendrite growth velocity is slow. The thermal diffusion layer prevents the release of the latent heat, so the disturbance of interface is restrained. At horizontal direction, the growth velocity of the dendrite lies between the middle of downstream region and upstream region.

# 4.2 Relationship between forced flow and dendrite growth

4.2.1 Effect of forced flow on dendrite growth

The effect of forced flow on the growth velocities of upstream, normal and downstream dendrites is obvious. Figure 4 shows the dendrite shape at growth time of  $t=6500\Delta t$  and the initial flow velocities v=0, 0.0011, 0.0025, 0.0026 and 0.0036, respectively, where the supercooling degree is S=0.65.

It can be found that, the primary dendrites do not shrink dramatically in the downstream region when the flow velocity is less than 0.0026. The effect of forced flow on the dendrite morphology is slight when the flow



**Fig. 3** Dendrite morphologies (a, b) and thermal diffusion layer distribution (c, d) of phase-field modeling at  $t=6500\Delta t$ : (a, c) Without forced flow; (b, d) With forced flow



**Fig. 4** Effect of flow velocity on morphologies of main branches at  $t=6500\Delta t$ : (a) v=0; (b) v=0.0011; (c) v=0.0025; (d) v=0.0026; (e) v=0.0036

velocity is low, and the dendrite growth is mainly controlled by thermal diffusion. With the increase of flow velocity, the dendrite growth process is controlled by the convection gradually. On one hand, the growth rate of primary dendrites in upstream region increases with the increase of the flow velocity, and the primary dendrites and side-branches become more and more developed. On the other hand, the growth rate of main dendrites in downstream region reduces with the increase of the flow velocity, and the growth of the main branches and side-branches is restrained strongly in this region.

Figure 5 shows the dendrite shape and deflection angle of the horizontal dendritic tip under different flow velocities at  $t=6500\Delta t$ . It can be seen that, the morphology of the dendrite is symmetric completely without forced flow, as shown in Fig. 5(a), and the deflection angle of the horizontal dendritic tip is 0°. The horizontal dendrite tip deviates the horizontal line towards the fluid direction under the condition of forced flow. With the increase of forced flow velocity, the deflection angle increases, as shown in Figs. 5(b), (c) and (d).

#### 4.2.2 Effect of dendrite growth on flow field

Figure 6 shows the flow field distribution and grids of temperature field of the dendritic tips at v=0.0036 and  $t=6500\Delta t$ ,  $6100\Delta t$ ,  $6900\Delta t$ , respectively. The position and direction of the arrow represent fluid particle position and flow direction, and the length of the arrow stands for the velocity of fluid particle. It can be seen that, arrows change their directions when the fluid passes the normal direction of the main dendritic tip. The length of arrows is the longest near the primary dendrites, and the flow velocity is the largest in this region. When the dendrite size is small, the vortex does not appear, as shown in Fig. 6(a). With the increase of the dendrite size, the rotation of the small arrows is present in the downstream region, as shown in Fig. 6(b), and the vortex area increases gradually, as shown in Fig. 6(c). According to the fluid mechanics, the negative pressure becomes stronger gradually in the downstream region, and the flow of the melt in the vortex region leads to the change of thermal distribution near the dendritic tips, as shown in Figs. 6(e) and (f). The latent heat gathered in this region makes the actual temperature at the vortex region higher than the melting point of the melt, and the remelting of the dendrite occurs.

### **5** Conclusions

1) A phase-field model of pure material combined with the projection algorithm is applied to simulating dendrite growth under the condition of forced flow. The phase-field model is solved by using the efficient adaptive finite element method. The computational cost is reduced by an order of magnitude by using the non-uniform grid, and the computational efficiency is



**Fig. 5** Effect of flow velocity on deflection angle of horizontal dendritic tip flow at  $t=6500\Delta t$ : (a) v=0; (b) v=0.0011; (c) v=0.0026; (d) v=0.0036



**Fig. 6** Flow field distribution and grids of temperature field at v=0.0036 and different growth time: (a, d)  $t=1300\Delta t$ ; (b, e)  $t=6100\Delta t$ ; (c, f)  $t=6900\Delta t$ 

improved dramatically.

2) When the flow velocity is low, the effect of the forced flow on the dendrite morphology is slight. But the symmetry of dendrite shape is collapsed obviously with the increase of the flow velocity. The main dendrite arms in the upstream region are more developed than those in the downstream region, and the side-branches are developed as well in the upstream region. The horizontal dendritic tip deviates the horizontal line under the condition of the forced flow.

3) The effect of the dendrite growth on the flow field is evident. With the increase of dendrite size, the vortex is present in the downstream regions. The actual temperature is higher than the melting point of the melt in the vortex region, and the remelting of the dendrite occurs.

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# 基于自适应有限元相场模型模拟 强制流动条件下的枝晶生长

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**摘 要:**应用投影算法与相场法相结合的数学模型,采用基于非均匀网格的自适应有限元法求解该模型,并对强 制流动作用下镍过冷熔体中枝晶生长行为进行模拟。模拟结果表明,强迫对流的引入导致枝晶生长的不对称性。 当流速小于临界值时,流动对枝晶的不对称生长影响较小;当流速达到或超过临界值时,枝晶生长的控制因素逐 渐从热扩散过渡到对流。随着流速的增大,流动法向的一次枝晶臂朝逆流方向倾斜角度增大。而枝晶生长对熔体 流动具有明显的影响。随着枝晶尺寸的增大,在顺流区域产生涡流效应,涡流区逐渐扩大并在枝晶尖端出现重熔 现象。此外,非均匀网格的自适应有限元方法的 CPU 耗费时间比均匀网格方法降低一个数量级,并且加速比与 计算域尺寸成正比。

关键词: 枝晶生长; 相场模拟; 强制流动; 自适应有限元法

(Edited by Wei-ping CHEN)