Microstructure and properties of SiCp/Al electronic packaging shell produced by liquid–solid separation

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Received 27 May 2013; accepted 10 September 2013

Abstract: The electronic packaging shell of high silicon carbide (54%SiC, volume fraction) aluminum-based composites was produced by liquid–solid separation technique. The characteristics of distribution and morphology of SiC as well as the shell’s fracture surface were examined by optical microscopy and scanning electron microscopy, and the thermo-physical and mechanical properties of the shell were also tested. The results show that Al matrix has a net-like structure while SiC is uniformly distributed in the Al matrix. The SiCp/Al composites have a low density of 2.93 g/cm3, and its relative density is 98.7%. Thermal conductivity of the composites is 175 W/(m·K), coefficient of thermal expansion (CTE) is $10.3 \times 10^{-6} \text{ K}^{-1}$ (25–400 °C), compressive strength is 496 MPa, bending strength is 404.5 MPa, and the main fracture mode is brittle fracture of SiC particles accompanied by ductile fracture of Al matrix. Its thermal conductivity is higher than that of Si/Al alloy, and its CTE matches with that of the chip material.

Key words: liquid–solid separation; near-net thixoforming; SiCp/Al electronic packaging shell; thermal conductivity; coefficient of thermal expansion

1 Introduction

Electronic packaging shell is an important component in integrated circuit chip packaging. The failure rate of a single component in the chip is exponentially related to its working temperature, while its function is inversely proportional to it. The maximum heat dissipation is a requirement for today's microelectronic systems [1]. As a component providing connection and protection in a chip, the electronic packaging shell should meet the following basic requirements [2]. First, coefficient of thermal expansion (CTE) is low. CTE of the material must match with that of the Si or GaAs chip and so on, to avoid cracking induced by thermal stress. Second, thermal performance is excellent. The chips heat generated during the operation must be dissipated in time. Third, density is low and strength and stiffness are sufficient to support and protect the chip. Fourth, the cost should be as low as possible.

The traditional electronic packaging materials, such as Kovar alloy (Fe–Ni–Co alloy), Inva alloy, W–Cu alloy, cannot meet the requirements of the development due to their high cost and high density [3]. Thus, various new electronic packaging materials appeared, such as Al/Si composites [4] and Al/SiC composites [5,6]. As the third generation material of electronic packaging, the SiCp/Al composites are widely used in microwave integrated circuit, power module, microprocessor cover and heat radiating plate, etc [7–12]. The method used to produce SiCp/Al composites includes powder metallurgy (PM), infiltration without pressure or squeezing infiltration, spray deposition, mixing casting technique and so on. But all of these methods have some disadvantages, such as high porosity and high raw material loss rate. So the volume fraction of the SiC particle is limited, and SiC content is usually unable to exceed 20% in the mixing casting technique.

The SiCp/Al shell is produced firstly by semi-solid thixoforming technique in this study, utilizing the characteristics of liquid–solid separation. Under the action of external force, the SiC particle flows with the liquid together, but liquid flows prior to solid and is firstly extruded into the filtrate tank from the gap of the die. Hence, most SiC particle will stay in the mold of...
the shell and be gathered together. The electronic packaging shell with high silicon carbide (40%–60% SiC, volume fraction, the same below if not mentioned) aluminum-based composites has been produced. A new type of short process, easy manipulation, low cost material preparation technology was developed. It has some advantages of lightweight, high thermal conductivity, low thermal expansion, high strength, near-net forming and so on. Also, its CTE can be adjusted by adjusting the volume fraction of SiC.

2 Experimental

2.1 Materials

SiC particles and aluminum powders were used as the experimental materials. Smaller SiC particles were easy to accumulate, and not easy to implement semi-solid thixoforming and liquid–solid separation. So, its average particle size was selected as 47 μm. The volume fraction of SiC particle was 30%. The thermophysical properties of pure aluminum were higher than other aluminum alloys. So, pure aluminum powder was selected as matrix material with average particle size of 80 μm (higher bulk mixed density was easier to get), which grade is Al99.70A [13]. The chemical composition of SiC particle and aluminum powder is listed in Table 1.

<table>
<thead>
<tr>
<th>SiC particle</th>
<th>Aluminum powder</th>
</tr>
</thead>
<tbody>
<tr>
<td>SiC</td>
<td>Si Fe O Ca Al Fe Si Cu</td>
</tr>
<tr>
<td>97.25 &lt;1.18 &lt;0.98 &lt;0.33 &lt;0.26 99.81 0.1071 0.0702 0.0005</td>
<td></td>
</tr>
</tbody>
</table>

2.2 Method

First of all, SiC particles were pre-treated at 850 °C for 1 h oxidation, then 30% SiC particles and 70% Al powders were mechanically mixed uniformly together, followed by cold pressing (see Fig. 1) and high temperature sintering, hot pressing, mold unloading and finally shaped into 76 mm × 36 mm hot-pressed billet. In cold pressing stage, the pressing pressure was chosen to be 125 MPa, in order to make oxide film of aluminum fully break, establishing plastic bonding and atomic diffusion bonding in the powder, and to obtain high relative density to prevent internal oxidation in subsequent heating process. In sintering stage, in order to make billet heating uniform, temperature was heated to 450 °C for 10 min and 600 °C for 1 h. In hot pressing stage, in order to obtain higher strength and hardness, promote uniform diffusion in the component, accelerate the alloying process, and improve the bonding strength of matrix and SiC particle interface, the hot pressing stage was added in the experiment, during which the temperature was 600 °C and pressure was 90 MPa.

Finally, the hot-pressed billet was pressed again by semi-solid thixoforming and liquid–solid separation technique in the customized mold, and the shell of 54% SiC/Al was near-net formed. The forming temperature was 760 °C and pressure was 70 MPa. It is schematically indicated in Fig. 2. The dimensions of the shell were 82 mm × 42 mm × 14 mm. The wall thickness was 4 mm and the bottom-thickness was 6 mm.
measure was performed on the JR-3 analyzer at room
temperature, and the sample dimensions were \( d_{10} \text{ mm} \times 4 \text{ mm} \). The density was measured based on Archimedes
drainage method. The bending strength was tested by an
electronic universal testing machine (model RG 3000A),
and the sample dimensions were \( 2 \text{ mm} \times 4 \text{ mm} \times 36 \text{ mm} \).
The compressive strength was measured by a mechanical
testing machine (HS-3001B), and the sample
dimensions were \( d_5 \text{ mm} \times 15 \text{ mm} \).

3 Results and discussion

3.1 SiC particle morphology and microstructure of
hot-pressed billet and shell

3.1.1 SiC particle morphology and energy dispersive
spectrometer (EDS) analysis

The chemical properties of SiC particles are stable.
The contact angle between SiC and Al is 118° (>90°)
[14]. So, the wettability between SiC and Al is very poor,
and there is a strong stress concentration at the tips of the
irregular SiC particle. Due to the stress concentration, it
is easy to form cavity nucleation and the crack formed
extends to other region, which leads to the material
failure during deformation process [15]. The simplest
approach is oxidation pretreatment to eliminate the sharp
edge angles of SiC particle [16,17], and its surface forms
a layer of SiO\(_2\), which would react with Al matrix,
generating \( \text{Al}_2\text{O}_3 \) and Si,

\[
4\text{Al} + 3\text{SiO}_2 \rightarrow 2\text{Al}_2\text{O}_3 + 3\text{Si}
\]
hence changing the wettability of the particle and the
matrix. Meanwhile, water, gas and impurities of
particle’s surface can be removed to improve
performance of the prepared composites. Considering the
preparation cost, SiC particles were treated at 850 °C for
1 h oxidation.

SEM images of SiC particle before and after
oxidation pretreatment and EDS analyses are shown in
Fig. 3. It can be seen that edges of particles are clear
before pretreatment (Fig. 3(a)); the particles are oxidized
after pretreatment at 850 °C for 1 h oxidation (Fig. 3(b));
and SiO\(_2\) layer is generated on the surface (Fig. 3(d)).

3.1.2 Microstructure of hot-pressed billet and shell

The particle distribution has an important influence
on composite performance. Some researchers [18–20]
showed that there is a higher internal stress in particle
cluster zone, which is easy to cause composites failure.
Cluster particles reduce the failure strain of composites.
In general, the more uniform the particle distribution is,
the higher the failure strain is, and hence agglomeration
should be avoided.

The microstructure of 30% SiC\(_p\)/Al (hot-pressed
billet) is shown in Fig. 4 (a), from which it can be seen
that SiC particles are not completely uniformly
distributed in the matrix, and agglomeration exists.
To make SiC particles distribute uniformly in the
matrix and improve its volume fraction, further
processing is needed (semi-solid thixotropic and liquid–
solid separation). The hot-pressed billet was heated again in the mold, and under certain temperature and pressure, the semi-solid sample went through a thixotropic process. Extra liquid phase would flow out of the mold, leaving semi-solid phase in the mold to form SiC shell with high volume fraction. According to the theory of semi-solid thixotropic progress [21], the fluidic patterns of solid and liquid phases are different in the plastic deformation. Solid particle mostly occurs in plastic deformation and solid-slip, while the liquid phase is dominated by flowing. When the semi-solid forming occurs, two phases are separated from each other. The liquid Al phase is extruded from the gap of solid SiC particles and flows to the free surface first. The superfluous liquid is further extruded into the filtrate tank from the gap of the die. But the solid SiC particles stay in the mold of the shell and gather together to form a high volume fraction of SiC shell.

High-volume fraction SiC shell was produced by semi-solid thixotropic and liquid–solid separation. The typical morphology of the shell is shown in Fig.4 (b). Obviously, SiC particles are uniformly dispersed in the Al matrix. The mass fraction of SiC particle is 58.2% determined by the energy spectrum. Its volume fraction is calculated to be 54%.

The SEM images of three-point bending fracture morphology of 30% SiCp/Al and 54% SiCp/Al are shown in Fig. 5. It can be seen from Fig.5 (b) that the interface of SiC and Al is smooth and the overly small-angled tips of SiC particles disappear. Al matrix forms into nets, and SiC particles are uniformly distributed in the Al matrix. The shell produced by liquid and solid separation technique has both advantages of two materials.

Figure 6 shows the magnified morphology of fracture surface of bottom of the shell. It can be seen that there are ductile fracture dimple of Al matrix and brittle dissociation steps of SiC particles. The main fracture mode of the composites is brittle fracture of SiC phase accompanied by ductile fracture of Al matrix.

3.2 Performance analysis

The performance was measured on hot-pressed billet (30% SiCp/Al) or bottom of the shell (54% SiCp/Al), as shown in Table 2.
Table 2 Performance of composites

<table>
<thead>
<tr>
<th>Composite</th>
<th>Density/ (g·cm$^{-3}$)</th>
<th>Relative density/%</th>
<th>Compressive strength/MPa</th>
<th>Bending strength/MPa</th>
<th>Thermal conductivity/ (W·m$^{-1}·K^{-1}$)</th>
<th>CET (25–400 °C)/ $10^{-6}$ K$^{-1}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>30% SiC$_p$/Al</td>
<td>2.69</td>
<td>94.4</td>
<td>331.2</td>
<td>347.5</td>
<td>202.3</td>
<td>17.1</td>
</tr>
<tr>
<td>54% SiC$_p$/Al</td>
<td>2.93</td>
<td>98.7</td>
<td>496.0</td>
<td>404.5</td>
<td>175.0</td>
<td>10.3</td>
</tr>
</tbody>
</table>

3.2.1 Density, relative density, compressive strength and bending strength

It can be seen from Table 2 that the relative density of hot-pressed billet before liquid–solid separation is low (94.4%). The density of composites of shell obtained by the liquid–solid separation is greatly increased. The relative density is 98.7%, and its porosity is less than 3%. The compressive strength and bending strength are both higher than those of 30% SiC$_p$/Al hot-pressed billet. Figure 7 shows the compressive strength strain curve of the shell composites (54% SiC$_p$/Al) at room temperature. The maximum strain is 0.033, and the maximum stress is 496.0 MPa.

![Fig. 7 True stress–strain curve of 54% SiC$_p$/Al of shell at room temperature](image)

The toughness of pure aluminum is excellent, but its anti-deformation ability is poor. The elasticity modulus of SiC (340–450 GPa) is 6 times that of Al (70 GPa). The strength and stiffness of the composites were increased by adding SiC. The non-deformability, dimensional stability, wear resistance and hardness increased as well. As Mohs indentation hardness of SiC is 9.2, and its micro-hardness is 27832–32536 MPa, arranged after the diamond and boron carbide, in third place. The density and strength of SiC$_p$/Al composites produced by liquid–solid separation technique increased with the increase of pressure and SiC content. The SiC$_p$/Al composites not only keep a good ductility, electrical conductivity and thermal conductivity of the matrix aluminum alloy, but also have the performance of high-temperature resistance and corrosion resistance of SiC ceramic particles. At the same time, SiC is cheap to adapt to the requirement of high-tech materials with lightweight, high-strength, high temperature resistance, corrosion resistance, abrasion resistance and low cost. It is an ideal metal matrix composite.

3.2.2 Thermal conductivity

The thermal conductivity of the shell (54% SiC$_p$/Al) is shown in Table 2. It is lower than that of the material produced by Ceramics Process Systems Corporation (CPS) (Grade AlSiC-10) [22], but far higher than the basic requirement (100 W·m$^{-1}·K^{-1}$) for electronic packaging material.

The mechanism of thermal conductivity of solid materials mainly includes electronic conduction, phonon conduction and photon conduction. The electronic and phonon conduction have a more dominant effect on metal matrix composites. In SiC/Al composites, the Al matrix phase with high thermal conductivity is the main conduction phase (237 W·m$^{-1}·K^{-1}$). When the electrons and phonons meet SiC/Al interface or the defects at the interface, it will be scattered and impede heat conduction. The increase in interfacial area or defect density contributes to scattering and impeding heat conduction; as a result, the thermal conductivity is reduced. The porosity of the material also has serious scattering effect on phonons, which makes the thermal conductivity decrease. Therefore, reducing porosity can improve its thermal conductivity.

The irregular shape particle is not conducive to conduction, as its surface area is greater than the regular one with the same volume fraction. Nearly spherical particle surface area is smaller, which contributes to the enhancement of thermal conductivity [23]. The overly small-angled tips have been eliminated in SiC particle treated with high temperature oxidation, which reduces the interfacial thermal resistance.

3.2.3 Coefficient of thermal expansion

The values of CTE of composites at different temperature periods are listed in Table 3.

Figure 8(a) shows the CTE curve of 54% SiC$_p$/Al composites at different temperatures. It can be divided into three stages. With the temperature increasing, CTE first increases rapidly from room temperature to 160 °C at stage I, then increases slowly at stage II (120–360 °C), and stabilizes at $10×10^{-6}$ K$^{-1}$ over 360 °C at stage III.

The CTE curves of 54% SiC$_p$/Al composites of the shell and the chip Si [24] at different temperatures are shown in Fig. 8(b). It can be seen that the trend is same
Table 3 CTE of composites at different temperature periods

<table>
<thead>
<tr>
<th>Composite</th>
<th>CTE/10^-6 K^-1</th>
</tr>
</thead>
<tbody>
<tr>
<td>30% SiCp/Al (hot-pressed billet)</td>
<td>5.0</td>
</tr>
<tr>
<td>54% SiCp/Al (shell)</td>
<td>4.3</td>
</tr>
</tbody>
</table>

Fig. 8 Dependence of CTE on temperature of 54% SiCp/Al composites and Si: (a) 54% SiCp/Al composites; (b) 54% SiCp/Al composites and Si within the range from room temperature to 400 °C. This reveals that the CTE of composites matches with the chip Si. The thermal stress can be reduced between the packaging and the chip, and the safety of the semiconductor device and the stability of size can be improved.

3.2.4 Comprehensive comparison

Table 4 lists the comparisons of performance between produced SiCp/Al material and other packaging materials [25–27]. It can be seen from Table 4 that the CTE of 54% SiCp/Al produced by liquid–solid separation matches closely with chip GaAs or BeO. Its thermal conductivity exceeds that of 65% Si/Al alloy, and its density is close to that of 55% SiCp/Al produced by ceramics process systems corporation. It exhibits excellent comprehensive performance.

Table 4 Performance comparison of SiCp/Al produced by liquid–solid separation with other packaging materials

<table>
<thead>
<tr>
<th>Material</th>
<th>Density (g·cm^-3)</th>
<th>Thermal conductivity (W·m^-1·K^-1)</th>
<th>CTE (25–100 °C)/10^-6 K^-1</th>
</tr>
</thead>
<tbody>
<tr>
<td>30% SiCp/Al</td>
<td>2.69</td>
<td>202.3</td>
<td>11.6</td>
</tr>
<tr>
<td>54% SiCp/Al</td>
<td>2.93</td>
<td>175.0</td>
<td>7.0</td>
</tr>
<tr>
<td>55% SiCp/Al (CPS)</td>
<td>2.96</td>
<td>180.0</td>
<td>10.6</td>
</tr>
<tr>
<td>65% Si/Al</td>
<td>2.4</td>
<td>107.6</td>
<td>7.9</td>
</tr>
<tr>
<td>Kovar alloy</td>
<td>8.3</td>
<td>17.0</td>
<td>5.9</td>
</tr>
<tr>
<td>80W20Cu</td>
<td>15.6</td>
<td>180–210</td>
<td>8.3</td>
</tr>
<tr>
<td>GaAs</td>
<td>5.23</td>
<td>54.0</td>
<td>6.5</td>
</tr>
<tr>
<td>BeO</td>
<td>2.9</td>
<td>250.0</td>
<td>7.6</td>
</tr>
</tbody>
</table>

4 Conclusions

1) High-performance electronic packaging shell with high SiC content is successfully produced by liquid–solid separation. It has a low density of 2.93 g/cm³, and its relative density is 98.7%. Thermal conductivity is 175.0 W/(m·K), coefficient of thermal expansion is 10.3×10^-6 K^-1 (25–400 °C), compressive strength is 496.0 MPa, and bending strength is 404.5 MPa. It has the advantages of compact microstructure and stable performance. The main fracture mode is brittle fracture of SiC particles accompanied by ductile fracture of Al matrix at the same time.

2) During the semi-solid thixotropic SiCp/Al composites, liquid phase and SiC phase flow separately, and liquid is filtered out but SiC phase gathers in the shell, which causes the volume fraction of SiC increasing. Its thermal conductivity is higher than that of Si/Al alloy and its CTE matches with that of the chip material.

References

液固分离法近净成形 SiCp/Al 电子封装壳体的组织和性能

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摘 要：采用液固分离工艺制备高 SiC 体积分数 Al 基电子封装壳体(54%SiC，体积分数)，借助光学显微镜和扫描电镜分析壳体复合材料中 SiC 的形态分布及其断口形貌，并测定其物理性能和力学性能。结果表明：SiCp/Al 壳体复合材料中 Al 基体相互连接构成网状，SiC 颗粒均匀镶嵌分布于 Al 基体中。复合材料的密度为 2.93 g/cm³，致密度为 98.7%，热导率为 175 W/(m·K)，热膨胀系数为 10.3×10⁻⁶ K⁻¹(25~400 °C)，抗压强度为 496 MPa。抗弯强度为 404.5 MPa。复合材料的主要断裂方式为 SiC 颗粒的脆性断裂同时伴随着 Al 基体的韧性断裂，其热导率高于 Si/Al 合金的。热膨胀系数与芯片材料的相匹配。

关键词：液固分离；近净成形；SiCp/Al 电子封装壳体；热导率；热膨胀系数

(Edited by Xiang-qun LI)